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Jia-Li ZHANG, Xiao-Meng HUANG, Yu-Ze SUN



PII: S1674-9278(24)00053-4

DOI: <https://doi.org/10.1016/j.accre.2024.04.003>

Reference: ACCRE 520

To appear in: *Advances in Climate Change Research*

Received Date: 18 September 2023

Revised Date: 18 January 2024

Accepted Date: 2 April 2024

Please cite this article as: ZHANG, J.-L., HUANG, X.-M., SUN, Y.-Z., Multiscale spatiotemporal meteorological drought prediction: A deep learning approach, *Advances in Climate Change Research*, <https://doi.org/10.1016/j.accre.2024.04.003>.

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**Multiscale spatiotemporal meteorological drought prediction: A deep learning approach**

**Jia-Li ZHANG, Xiao-Meng HUANG \*, Yu-Ze SUN**

Department of Earth System Science, Ministry of Education Key Laboratory for Earth System Modelling, Institute for Global Change Studies, Tsinghua University, Beijing 100084, China

Corresponding author: [hxm@tsinghua.edu.cn](mailto:hxm@tsinghua.edu.cn) (HUANG X.-M. )

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3 **Jia-Li ZHANG, Xiao-Meng HUANG \*, Yu-Ze SUN**

4 Department of Earth System Science, Ministry of Education Key Laboratory for Earth System

5 Modelling, Institute for Global Change Studies, Tsinghua University, Beijing 100084, China

6 Corresponding author: [hxm@tsinghua.edu.cn](mailto:hxm@tsinghua.edu.cn) (HUANG X.-M. )

7

**8 Abstract**

9 Reliable monitoring and thorough spatiotemporal prediction of meteorological drought are crucial for  
10 early warning and decision-making regarding drought-related disasters. The utilisation of multiscale  
11 methods is effective for a comprehensive evaluation of drought occurrence and progression, given the  
12 complex nature of meteorological drought. Nevertheless, the nonlinear spatiotemporal features of  
13 meteorological droughts, influenced by various climatological, physical and environmental factors,  
14 pose significant challenges to integrated prediction that considers multiple indicators and time scales.  
15 To address these constraints, we introduce an innovative deep learning framework based on the shifted  
16 window transformer, designed for executing spatiotemporal prediction of meteorological drought  
17 across multiple scales. We formulate four prediction indicators using the standardized precipitation  
18 index and the standard precipitation evaporation index as core methods for drought definition using the  
19 ERA5 reanalysis dataset. These indicators span time scales of approximately 30 d and one season.  
20 Short-term indicators capture more anomalous variations, whereas long-term indicators attain  
21 comparatively higher accuracy in predicting future trends. We focus on the East Asian region, notable  
22 for its diverse climate conditions and intricate terrains, to validate the model's efficacy in addressing  
23 the complexities of nonlinear spatiotemporal prediction. The model's performance is evaluated from  
24 diverse spatiotemporal viewpoints, and practical application values are analysed by representative  
25 drought events. Experimental results substantiate the effectiveness of our proposed model in providing  
26 accurate multiscale predictions and capturing the spatiotemporal evolution characteristics of drought.  
27 Each of the four drought indicators accurately delineates specific facets of the meteorological drought  
28 trend. Moreover, three representative drought events, namely flash drought, sustained drought and  
29 severe drought, underscore the significance of selecting appropriate prediction indicators to effectively  
30 denote different types of drought events. This study provides methodological and technological  
31 support for using a deep learning approach in meteorological drought prediction. Such findings also  
32 demonstrate prediction issues related to natural hazards in regions with scarce observational data,  
33 complex topography and diverse microclimate systems.

34 **Keywords:** Meteorological drought; Spatiotemporal prediction; Multiscale; Swim transformer; Deep  
35 learning

## 36 1. Introduction

37 Meteorological drought is a complex natural phenomenon increasingly influenced by climate  
38 change (Manzano et al., 2019; Zhang et al., 2022), posing severe challenges to global water resource  
39 management and disaster prevention. Various forms of meteorological droughts can result from  
40 hydrological imbalances, such as inadequate rainfall and elevated evapotranspiration (Acharki et al.,  
41 2023; Alsubih et al., 2021). Contrary to rapid-onset disasters, meteorological droughts evolve and  
42 spread slowly across extensive spatial and temporal scales, manifesting strongly nonlinear dynamics  
43 (Mishra and Singh, 2010; Wu et al., 2022). Influenced by fluctuations in precipitation, temperature and  
44 other meteorological variables, the occurrence and severity of droughts are affected by diverse  
45 geographical factors, such as terrain, land-use patterns and soil conditions. Consequently, accurately  
46 modelling and predicting the intricate spatiotemporal evolution of meteorological droughts is  
47 challenging, particularly in regions with complex climatic and environmental systems (Li et al., 2021).

48 Great research efforts have been directed towards meteorological drought forecasting utilising  
49 station-based observations and gridded reanalysis products (Dikshit et al., 2022; Shen et al., 2019;  
50 Zhang et al., 2022). Several data-driven, physical and hybrid modelling techniques have been  
51 extensively employed for drought forecasting, covering multiple global and regional areas (Jamei et al.,  
52 2023). However, some prevalent limitations persist. Station-based predictions have limited spatial  
53 applicability and coverage (Hao et al., 2018). Although techniques, such as artificial neural networks,  
54 have been integrated (Alsubih et al., 2021; Azimi et al., 2023; Danandeh Mehr et al., 2023), the  
55 predictions often pertain only to local areas surrounding observation stations. They fail to apprehend  
56 detailed drought distributions and propagation over large heterogeneous regions (Dikshit et al., 2022;  
57 Jamei et al., 2023). Conversely, gridded reanalysis and model products support comprehensive drought  
58 monitoring and improved characterisation of complex spatiotemporal interactions (Zhang et al., 2022).  
59 Nonetheless, most studies have centred on single timescales or indicators, lacking a multifaceted and  
60 comprehensive assessment.

61 A single indicator facilitates the realisation of predictions. However, the effective quantification  
62 and indication of meteorological droughts, a natural disaster with complex causes, is still an advancing  
63 research topic (Dikshit et al., 2022). Researchers are committed to maximising the integration of hydro-  
64 meteorological and climatic variables into the quantification of meteorological drought or finding the  
65 most concise descriptive approach. However, regardless of the form utilised, prediction models relying  
66 on a single indicator can only account for one aspect, resulting in inevitably biased predictions. In  
67 addition, due to impacts from sudden climate events or outliers in data products, prediction results from  
68 single-indicator models lack robustness and are difficult to validate or refine through other approaches,  
69 indicating remaining reliability shortcomings.

70 Similarly, a single time scale is consistent with the general rules of model prediction. However, it

71 is often insufficient for various practical applications because large and small timescales tend to imply  
72 different information about drought. While long-term climate analyses can outline general drought  
73 trends, short-term extreme events, such as flash droughts, may be overlooked. Existing techniques also  
74 struggle in skilfully extracting and representing the elaborate spatiotemporal signatures of droughts,  
75 especially in regions with highly diverse terrain and microclimates. For instance, mountainous and  
76 plateau topographies can impede or partition moisture-laden air transported by monsoonal circulations,  
77 engendering microclimatic regimes that affect precipitation distribution and yielding significant  
78 disparities in wet and dry conditions. Moreover, transpiration differs across plains, high mountains and  
79 plateaus, attributable to variances in elevation, vegetative cover and edaphic environments. This  
80 condition further convolutes the spatial and temporal attributes of drought. Consequently, a powerful  
81 and reliable spatiotemporal prediction model is urgently required for interpreting meteorological  
82 drought by multi-timescales and multi-indicators.

83 Recently, transformer architectures have shown promising capabilities for spatiotemporal  
84 sequence modelling and forecasting (Vaswani et al., 2017). This typical architecture is predicated upon  
85 a sequence-to-sequence approach capable of linking contextual factors from the past to a prospective  
86 aim in the future. Transformers leverage self-attention and cross-attention as underlying building  
87 components. The advantages of the attention mechanisms in transformers lie in the capability of each  
88 token to engage with others and leverage semantic information more productively, rendering  
89 transformers adaptable for undertaking distant interconnectedness. Furthermore, multihead attention  
90 mechanisms capture spatial and temporal relations, enabling spatiotemporal predictions through  
91 explicit or implicit means. Essentially, the transformer architecture aligns with the objective of  
92 effective global–local spatiotemporal aggregation and has achieved outstanding results in Earth system  
93 prediction applications (Huang et al., 2022), including drought forecasting using climate indices (Duan  
94 and Zhang, 2022; Minixhofer et al., 2021). However, its application, where gridded data are directly  
95 used in complex regions, remains limited.

96 Therefore, an advanced transformer model is developed; it is specifically designed to exploit  
97 gridded meteorological data for multiscale drought prediction across topographically and climatically  
98 diverse regions. The flexibility of transformer architectures combined with physically meaningful data  
99 is expected to effectively enhance spatiotemporal drought analysis. The innovation of this study aims to  
100 overcome the limitations of traditional forecasting approaches that are constrained by a single indicator  
101 and time scale. The proposed method endeavours to expand the research perspective, thereby  
102 improving the robustness and practical applicability of meteorological drought predictions.  
103 Furthermore, the exploration of optimal approaches in constructing indices and time scales that cater to  
104 diverse needs is actively promoted. The ultimate goal is to provide concrete and reliable data support  
105 for the prevention of meteorological drought-related disasters.

106 The East Asian region is selected as the modelling domain, given the advantageous potential for  
107 validating model performance under complex climatic conditions. Previous studies have emphasised  
108 that expansive mountain chains, such as the Tibetan Plateau, the Kunlun, Himalayan and Andes  
109 Mountains in East Asia, considerably impact the existing global climate system (Kitoh, 2004). The  
110 interactions at myriad scales, including those involving oceanic and atmospheric circulation, are  
111 modified by these mountains, intensifying the challenge of analysing and predicting the behaviour of  
112 these variables when they intersect with mountainous terrains (Martens et al., 2020). Further  
113 complicating matters, the scarcity of observational data hinders pertinent research and forecasting  
114 applications. These issues underline the urgent necessity for precise and extensive drought forecasts  
115 derived from gridded meteorological data specific to this region.

## 116 **2. Data and methods**

### 117 **2.1 Study region and dataset**

118 The current research is centred on East Asia ( $3^{\circ}$ – $66^{\circ}$ N and  $75^{\circ}$ – $140^{\circ}$ E). ERA5 products,  
119 developed by the European Centre for Medium-range Weather Forecasts, exemplify the latest progress  
120 in reanalysis technology. Prior research has confirmed that ERA5 precipitation data surpass several  
121 other products in accurately reflecting precipitation levels (Martens et al., 2020; Zhang et al., 2022;  
122 Torres-Vázquez et al., 2023). The two key variables utilised are hourly precipitation and 2-m  
123 temperature. We use half a century's worth of data, ranging from 1970 to 2020, at a horizontal  
124 resolution of  $0.25^{\circ} \times 0.25^{\circ}$ . The data gathered in 2020 form the basis for model testing and subsequent  
125 performance evaluations.

### 126 **2.2 Drought indicators**

127 The standardized precipitation index (SPI) is a globally approved drought index, which quantifies  
128 the probability of observed precipitation in comparison with long-term climatic conditions by fitting  
129 the precipitation data to a suitable probability distribution (McKee et al., 1995). The SPI offers  
130 flexibility because it can be used on any selected timescale, from daily measurements upwards. Thus, it  
131 has been extensively employed in meteorological drought studies.

132 The standard precipitation evaporation index (SPEI) (Vicente-Serrano et al., 2010) calculates the  
133 'climatic water balance' using evapotranspiration and precipitation inputs. SPEI can be computed  
134 across various monthly timescales, ranging from 1 to 24 mon, thereby representing different types of  
135 droughts. Although the definitions of drought provided by SPI and SPEI coincide, their values may  
136 vary slightly due to differing underlying principles. Therefore, the combination of two indices to define  
137 drought is considered an objective and practical approach. Table 1 delineates the definition of drought

138 according to aridity indices, with positive (negative) values implying wet (dry) conditions.

139 Table 1 Classification of drought conditions according to SPI and SPEI indices (Rhee and Im, 2017).

| Classification     | Index value        |
|--------------------|--------------------|
| Extremely wet      | $\geq 2.00$        |
| Very wet           | 1.50 to 1.99       |
| Moderately wet     | 1.00 to 1.49       |
| Near normal        | 0.99 to $-0.99$    |
| Moderately drought | $-1.00$ to $-1.49$ |
| Severe drought     | $-1.50$ to $-1.99$ |
| Extremely drought  | $\leq -2.00$       |

140 Drought is frequently assessed on a seasonal timescale to quantify precipitation trends over a  
 141 season (Hao et al., 2018). This scale carries physical and practical importance. Nevertheless, a one-  
 142 month accumulation window is applied, owing to the potential inadequacy of the seasonal scale in  
 143 indicating the rapid onset of meteorological drought. Ultimately, acknowledging the benefits of diverse  
 144 drought indices and timescales, SPI30 and SPI90 are selected to represent the single-month and  
 145 seasonal time scales, *i.e.* 30-d and 90-d accumulations. Meanwhile, SPEI-1 and SPEI-3 are designated  
 146 as the single-month and seasonal scales (3-mon), respectively.

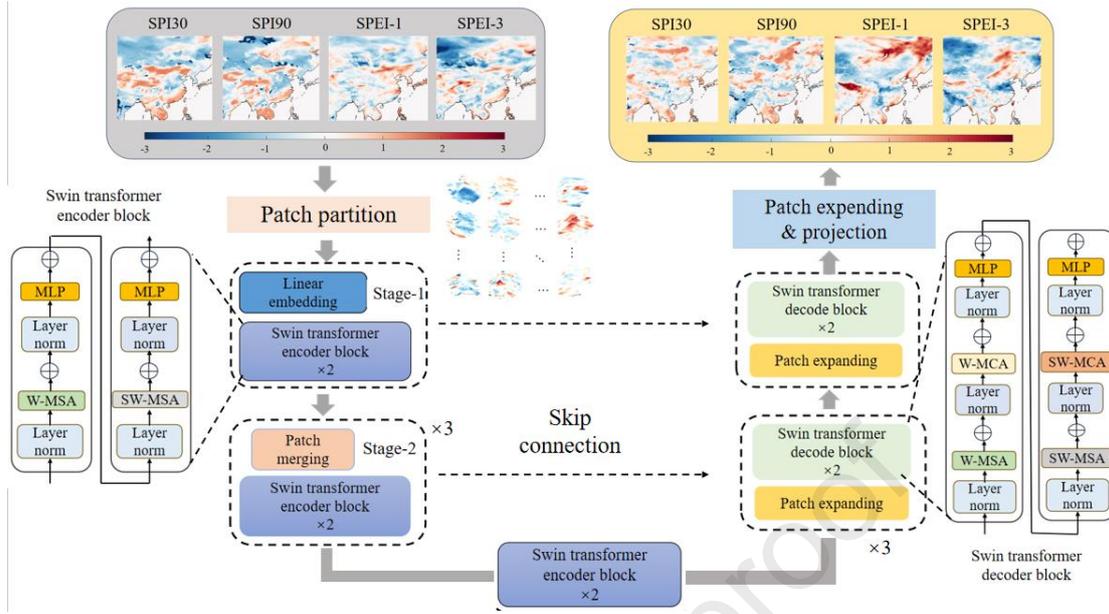
### 147 2.3 Drought prediction model

148 This study aims to formulate a model equipped to amalgamate multiple indices to achieve  
 149 multiscale meteorological drought prediction. The widely utilised shifted window transformer (Swin  
 150 transformer) (Liu et al., 2021) serves as the foundational building block to enhance the model's  
 151 capability of spatiotemporal feature extraction. The Swin transformer uses hierarchical feature  
 152 representation and multiscale fusion, enabling the extraction of complex, interconnected features while  
 153 allowing the model to tackle dense prediction tasks.

154 Spatiotemporal variations within localised areas exhibit enhanced relevance because  
 155 meteorological droughts are impacted by regional geography and microclimatic systems. Consequently,  
 156 Swin transformers featuring localised attention and shift windowing are better positioned to accurately  
 157 and efficiently capture intrinsic spatiotemporal structural attributes. On the contrary, standard  
 158 transformers, which solely model global spatial relationships, tend to neglect such critical factors (Liu  
 159 et al., 2022). In addition to its applicability, Swin transformer has demonstrated its superior  
 160 performance and low computational complexity in the computer vision field, providing vital motivation  
 161 for adopting this backbone architecture in the current study.

162 Fig. 1 exhibits the structure of this multiscale meteorological drought prediction model, in which  
 163 the conventional U-net architecture with skip-connection is employed to fulfil the prediction task of the  
 164 model. Concurrently, the modules are designed in a 3D manner, ensuring that the data formatting  
 165 arrangement maintained within the model is spatiotemporal.

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Fig. 1 Structure of the multiscale meteorological drought prediction model.

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As depicted in Fig. 1, the gradual down-sampling in the encoder process undergoes multiple stages to capture salient local and global representations. Initially, the incoming data combination is segmented into small patches via a 3D patch partition layer. Subsequently, a linear embedding layer changes these patches to form the token for the subsequent Swin transformer block. Each Swin transformer encoder block uses two basic Swin transformer layers with differing interchange sliding windows: windowed multihead self-attention (W-MSA) and shifted window multihead self-attention (SW-MSA). The feed-forward network (MLP) and layer normalization are also incorporated as transformer layers, whereas the patch merging layer serves as a down-sampling operator to perform on incoming data. A neck block is established comprising two Swin transformer blocks to facilitate the connection between the encoder and decoder.

In the decoding process, multihead cross attention (MCA) is incorporated in addition to MSA. This approach aims to formulate the Swin transformer decoder block, thereby enabling an interaction between the encoded tokens and those being decoded. The patch expanding layer is employed as an up-sampling operation, corresponding to the patch merging layer applied to the decoder block. Contrary to the encoder blocks, the Swin transformers in decoder blocks, as shown in Fig. 1, leverage self and cross attention interchangeably, then shifted window self and cross attention. Through a series of Swin transformer decoder blocks, a patch expanding layer is employed for potential recovery of the spatial dimension that has been modified by the multistage encoder-decoder blocks. Lastly, the output of the final decoder block is processed by a fully connected layer. This layer allows the final results to be mapped to the target dimensionality and structure.

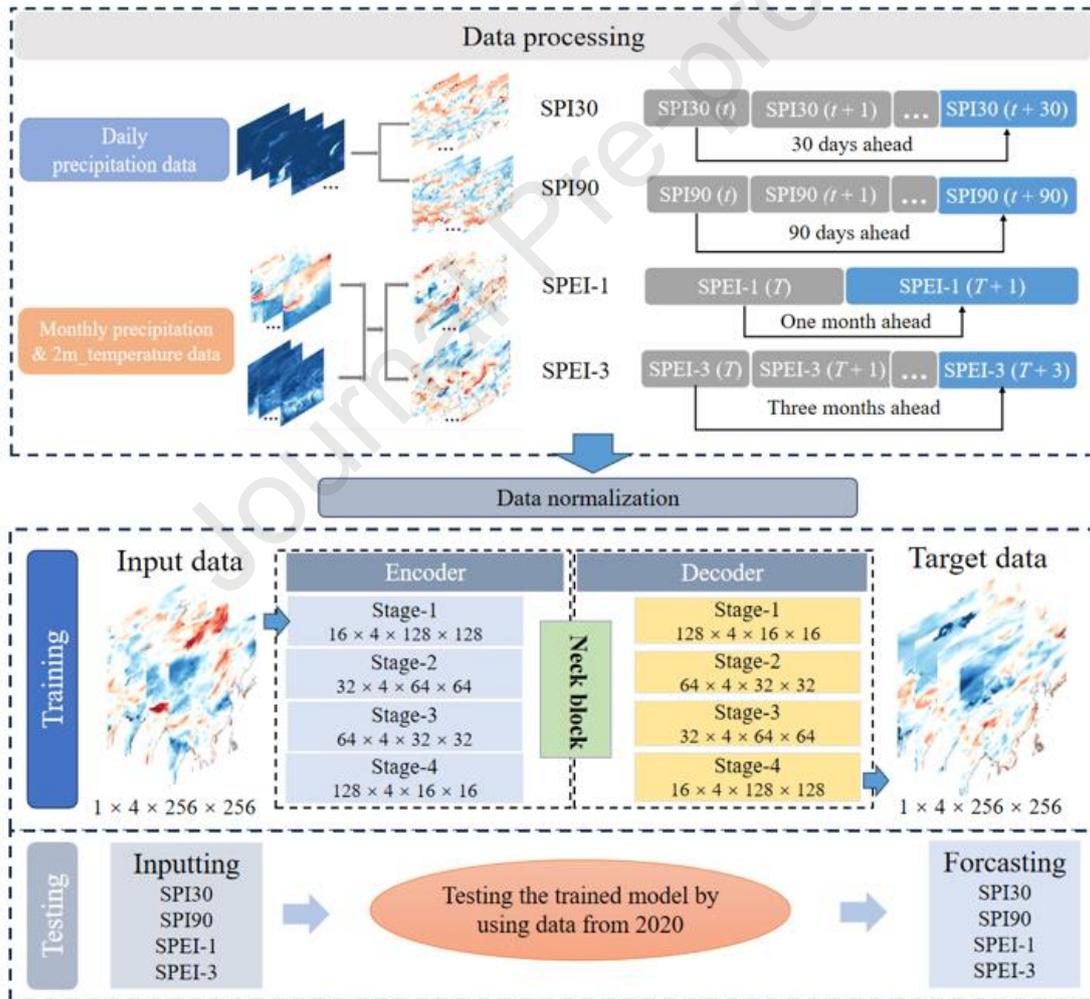
For any two attention layers in the Swin transformer block, the calculation process can be

190 expressed in Eq. 1 as follows:

$$191 \quad \begin{cases} \hat{z}^l = W(L(z^{l-1})) + z^{l-1} \\ z^l = M(L(\hat{z}^l)) + \hat{z}^l \\ \hat{z}^{l+1} = S(L(z^l)) + z^l \\ z^{l+1} = M(L(\hat{z}^{l+1})) + \hat{z}^{l+1} \end{cases} \quad (1)$$

192 where  $W$  and  $S$  represent W-MSA and SW-MSA operator, respectively,  $M$  is the MLP module,  
 193  $\hat{z}^l$  and  $z^l$  represent the output features of W-MSA and MLP module, respectively, and superscript  $l$   
 194 is the block number. As shown in Fig. 1, the W-MCA and SW-MCA modules are only added during  
 195 decoding.

196 Fig. 2 presents the workflow of our proposed model for multiscale meteorological drought  
 197 prediction, segmented into three phases: data processing, model training and model testing.



198  
 199 Fig. 2 Workflow for multiscale meteorological drought prediction.

200 The initial gridded precipitation and 2-m temperature data from ERA5 are provided on an hourly  
 201 basis, necessitating their preprocessing. Precipitation data are processed into daily total precipitation  
 202 and monthly aggregate precipitation, while the 2-m temperature information is transformed into

203 monthly averages. These modified data serve as the foundation for the computation of the multiscale  
 204 drought indices. The data processing segment in Fig. 2 evidently shows that the daily precipitation  
 205 frequency is utilised to derive SPI30 and SPI90, whereas the monthly aggregate precipitation and  
 206 average temperature are employed to generate SPEI-1 and SPEI-3. The input data comprise a single set  
 207 of four concurrent exponential values due to the differing temporal scales applied by SPI and SPEI. On  
 208 the contrary, the target data correspond to the next temporal scale value of the four input indicators,  
 209 implying that they do not occur simultaneously. The dataset necessary for model training and testing is  
 210 constructed following these guidelines. Subsequent to the completion of the dataset construction, we  
 211 also undertake normalisation processing on the data to facilitate efficient model training.

212 In the training phase, the input and output of the entire network are in a 3D tensor format,  
 213 represented as [time\_step, indicators\_num, longitude, latitude]. The ‘time\_step’ is set to 1, denoting a  
 214 prediction time span of 1; ‘indicators\_num’ is set to 4, signifying the four meteorological drought  
 215 indicators utilised in this study; ‘longitude’ and ‘latitude’ represent the spatial dimensions of the study  
 216 region, each dimension having a value of 256. Detailed dimensional transformations at each stage of  
 217 the encoder–decoder process are illustrated in Fig. 2. The model was trained on an NVIDIA A100 GPU  
 218 using the AdamW optimiser. The initial learning rate is set to  $1 \times 10^{-4}$ . However, the learning rate is not  
 219 constant throughout training and is instead dynamically reduced according to the model’s learning  
 220 progress. In addition, common data augmentation techniques, including flip, rotation and Gaussian  
 221 noise, are leveraged to further bolster the efficacy of model training.

## 222 3. Results

### 223 3.1 Overall prediction performance evaluation

224 The study period in Table 2 encompasses the entirety of the year 2020. We employ root mean  
 225 square error (RMSE) and correlation coefficient ( $r$ ) as performance evaluation metrics to gauge the  
 226 forecasting accuracy. A well-performing model is indicated by an RMSE close to 0 and  $r$  close to 1.  
 227 These statistical outcomes are juxtaposed with those computed from ERA5 data to exhibit the  
 228 comprehensive performance of SPI30, SPI90, SPEI-1 and SPEI-3 across the entirety of East Asia.

229 Table 2 Comprehensive assessment of prediction accuracy

| Parameter | SPI30  | SPI90  | SPEI-1 | SPEI-3 |
|-----------|--------|--------|--------|--------|
| RMSE      | 0.6011 | 0.5366 | 0.5343 | 0.5076 |
| $r$       | 0.5672 | 0.6609 | 0.7947 | 0.7905 |

230

231 Table 2 indicates that the average RMSEs for all indices hover within the range of 0.5–0.6, with  
 232 SPI30 recording the highest and SPEI-3 the lowest. This discrepancy can be attributed to  
 233 meteorological drought being a complex, nonlinear phenomenon that transpires on disaster scales.

234 Employing shorter time windows captures abundant drought-related information, albeit at the cost of  
235 increasing prediction difficulty. Indices with extended time windows, such as SPEI-3, portray  
236 meteorological drought evolution on a seasonal scale by disregarding short-term anomalies, thereby  
237 resulting in a relatively stable overall trend and improved prediction accuracy.

238 Conversely, SPI is derived using a daily-progress methodology that ensures timely updates of  
239 prediction results but may compromise some degree of precision. Consequently, more reliable indices  
240 should be selected as the primary reference based on specific application requirements within the limits  
241 of acceptable accuracy. Other indices can function as subsidiary indicators to facilitate a comprehensive  
242 evaluation of meteorological drought.

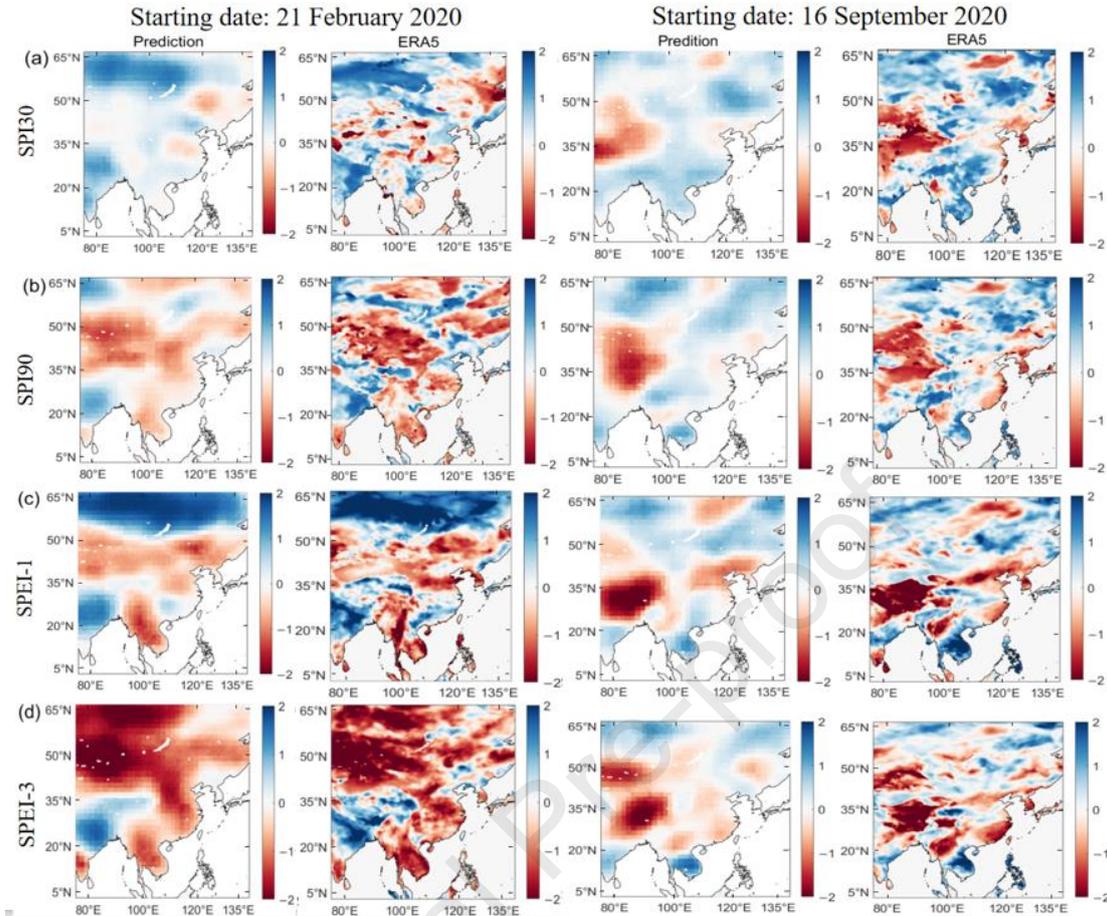
243 The average  $r$  results generally echo the overall trend presented by the RMSE, with long-term  
244 predictions showing a higher correlation with the labels. Nonetheless, a notable difference lies in the  
245 fact that SPEI's average  $r$  typically exceeds that of SPI. This finding indirectly suggests that while the  
246 progressive-based daily scale can capture abundant information, it equally increases the complexity of  
247 prediction.

### 248 **3.2 Spatial pattern**

249 This study achieves spatiotemporal prediction of meteorological drought across multiple indices  
250 and time scales. A more nuanced analysis is warranted following an evaluation of the overall accuracy  
251 of these predictions. We begin by selecting prediction results from two distinct starting dates in winter  
252 and summer for a more visually engaging illustration.

253 Fig. 3 maps out spatial patterns for prediction results with starting dates on 21 February 2020 and  
254 16 September 2020, respectively. Predictions for the four drought indicators, namely SPI30, SPI90,  
255 SPEI-1 and SPEI-3, align closely with the label results in terms of overall spatial patterns. Negative  
256 areas signify drought, and positive areas indicate wetness, considering the definition of drought in  
257 Table 1. The spatial maps about drought–wetness areas offers a more precise delineation of regional  
258 drought intensity and extent.

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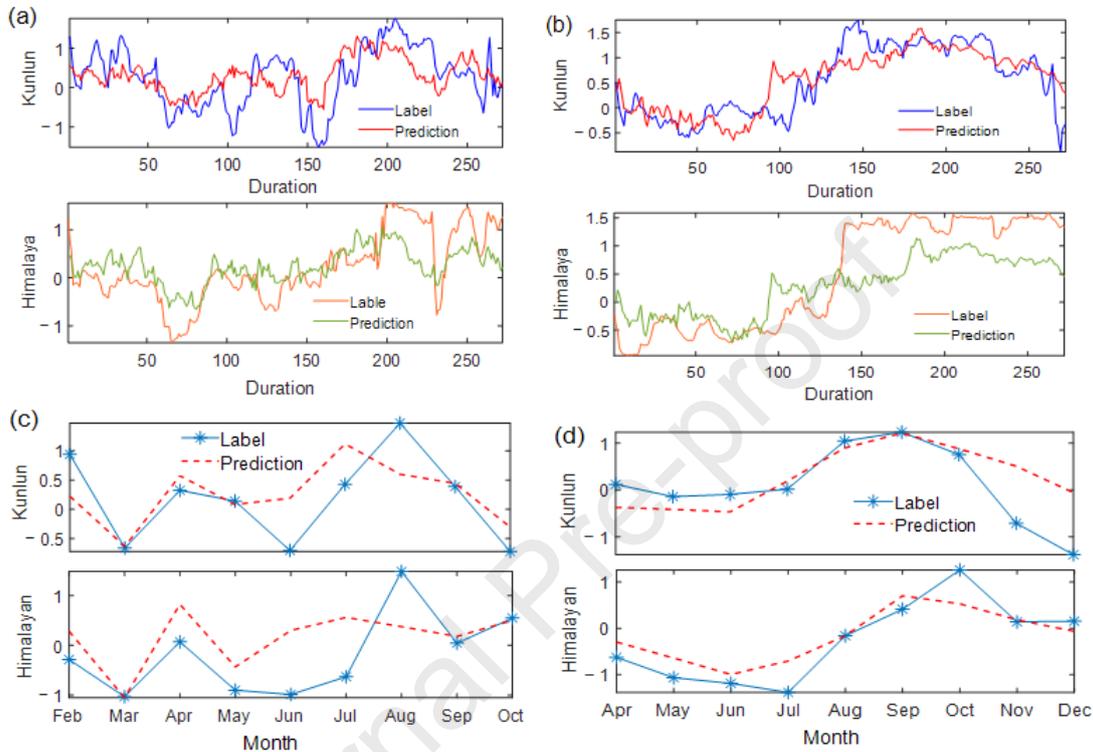
261 Fig. 3. Comparative spatial patterns of drought predictions with varied starting dates, (a) SPI30, (b)  
 262 SPI90, (c) SPEI-1 and (d) SPEI-3.

263 For instance, predictions corresponding to the start date of 16 September 2020 accurately pinpoint  
 264 a prominent drought event on the western side of the study area. Different from meteorological station-  
 265 based observations, predictions with a spatial structure not only identify the occurrence of drought but  
 266 also adequately capture its spatial coverage. This finding proves crucial for effective drought  
 267 prevention measures and mitigation of related natural disasters.

268 Moreover, multiscale prediction results can effectively track the evolution of meteorological  
 269 drought. For example, the shift in drought ranges within 30–90 d in the SPI30 and SPI90 predictions  
 270 starting 21 February 2020, moving from a northeasterly to a westerly position, is clearly depicted. The  
 271 progression of meteorological drought from short-term to long-term is revealed, owing to the inclusion  
 272 of multiple time scales. This condition underlies the importance of accurate multiscale predictions in  
 273 tracking drought evolution. Fig. 3 also shows the discrepancies in detailed coverage and intensity  
 274 between SPI and SPEI results. Consequently, utilising multiple indicators can effectively generate more  
 275 balanced and accurate drought predictions.

276 **3.3 Temporal evolution**

277 Upon spatial pattern analysis, we narrow our focus to two characteristic mountain ranges in East  
 278 Asia, namely, the Kunlun and Himalayas Mountains. A comparative analysis is undertaken on drought  
 279 conditions within these focal regions, taking into account temporal changes in drought (Fig. 4).



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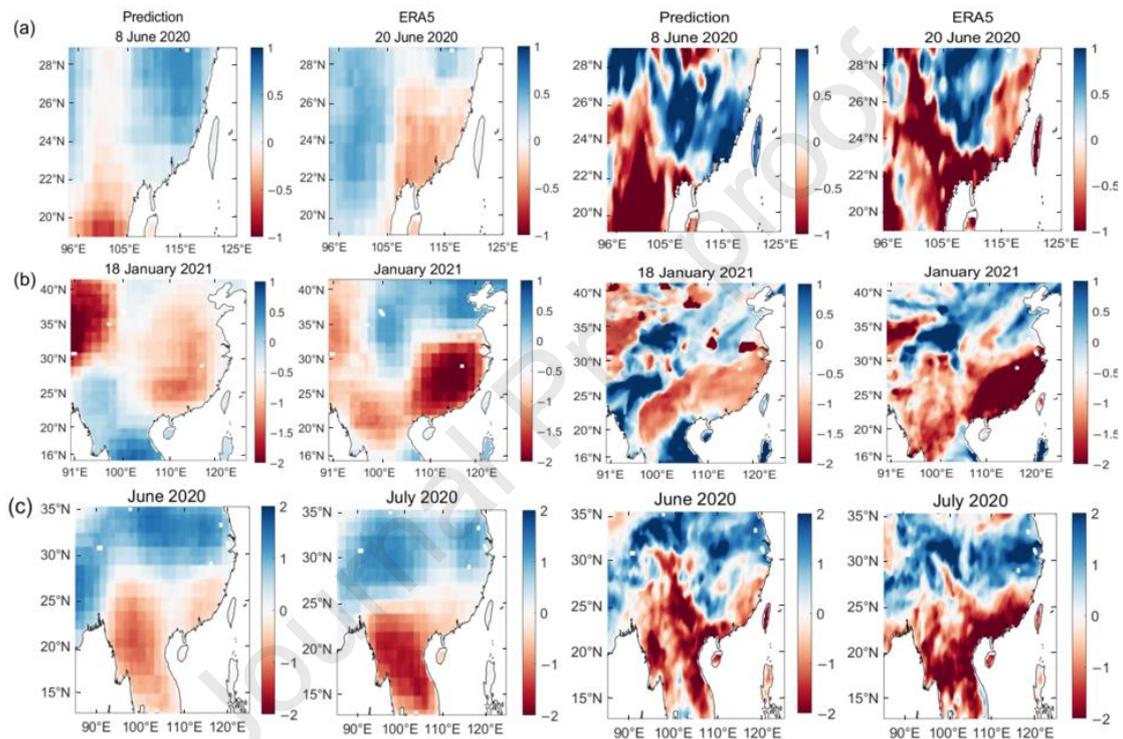
281 Fig. 4. Time series of prediction results of the Kunlun Mountains and the Himalayas Mountains, (a)  
 282 SPI30, (b) SPI90, (c) SPEI-1 and (d) SPEI-3.

283 As depicted in Fig. 4, the SPI and SPEI predictions correspond with the labels regarding the  
 284 overall trend. The finding suggests that the proposed multiscale framework yields superior predictive  
 285 skill across the four timescales, capably tracking drought evolution over time. SPI30 and SPI90  
 286 predictions align closely with labelled values over certain periods. However, some intervals show less  
 287 overlap. Despite this condition, the overall trend is generally consistent, particularly in the case of  
 288 SPI90 predictions, attributable to longer windows smoothing short-term anomalous fluctuations.

289 Evident divergences are observed between prediction outcomes and label values on certain days,  
 290 as illustrated in Fig. 4a and b. For instance, negative label values, which serve as an indication of  
 291 drought, are observed around day 100 in the Kunlun region and day 125 in the Himalayan region. By  
 292 contrast, predicted values fail to reflect this drought variation, occasionally even suggesting a different  
 293 trend. Fig. 4b demonstrates that the model inadequately represents an wet phase starting around day  
 294 150 in the Himalayan Mountains. The SPEI predictions featured in Fig. 4c and d employ a monthly  
 295 scale compared with SPI's daily time scale. This approach fails to capture rapid drought-wetness  
 296 changes but is more effective in representing long-term and monthly scale trend variations.

### 297 3.4 Prediction skill in typical drought events

298 The primary objective of model prediction is to anticipate meteorological drought occurrences,  
 299 thereby facilitating proactive steps to mitigate corresponding natural disasters, particularly in regions  
 300 with a dearth of observational data. Our analysis leverages prediction results to scrutinise three distinct  
 301 drought events that occurred or were triggered in 2020. This study aims to shed light on the practical  
 302 implications of the proposed multiscale meteorological drought predictive model. Correspondingly, the  
 303 results derived from ERA5 data are used for comparative validation. Fig. 5 showcases the outcomes of  
 304 three handpicked characteristic drought events: flash drought, sustained drought and severe drought.



305  
 306 Fig. 5. Comparative results of three distinct drought events in 2020, (a) flash drought (indicated by  
 307 SPI30 with a start date of 8 June and a peak date of 20 June, (b) sustained drought (indicated by SPI90  
 308 (first and three columns) and SPEI-3 (second and fourth columns) on January 2021), and (c) severe  
 309 drought (indicated by SPEI-1 on June and July).

310 Flash drought, a rapidly occurring phenomenon, can seriously jeopardise ecosystems. However,  
 311 long timescale indices often fall short in forecasting flash drought occurrences because of their  
 312 sporadic nature (Dikshit et al., 2022). In this study, the multi-timescale prediction results, particularly  
 313 the daily progression-based SPI30 predictions, not only pinpoint specific dates but also account for  
 314 short-term anomalous variations overlooked by longer timescale predictions. Wang and Yuan (2022)  
 315 detailed and analysed a flash drought event in southeastern China's coastal region, instigated by  
 316 persistent high-pressure anomalies and dry land-air coupling. This flash drought event, spanning  
 317 coordinates (20°–25°N, 111°–121°E)–(29°–24° N, 119°–109°E), ensued on 8 June 2020 and reached

318 its zenith on 20 June 2020. Fig. 5a illustrates that a large area centred around (23°N, 110°E) remained  
319 relatively humid initially. However, on 20 June, the SPI30 in this area had considerably declined into  
320 negative values, indicating a drought trend. The comparison between the model predictions and the  
321 ERA5 results evidently shows that the drought intensity predicted by the model is low. This finding  
322 indicates that the model may have limitations in accurately predicting short-term extreme changes.  
323 However, in general, the comparison in Fig. 5a confirms that short-term predictions can effectively  
324 designate the occurrence and coverage of flash droughts on specific days.

325 Fig. 5b depicts a sustained drought event in South China, commencing in winter 2020 and  
326 persisting until spring 2021 (Feng et al., 2022). We employ two long-time indicators, SPI90 and SPEI-3  
327 for analysis, given the prolonged duration of sustained droughts. SPI90, calculated from precipitation  
328 accumulations over the past 90 d, can specify a particular date, whereas SPEI-3, obtained monthly, only  
329 indicates monthly forecast results. Fig. 5b clearly illustrates that long-timescale indicators predict the  
330 occurrence of this sustained drought event and relative coverage with reasonable accuracy, thereby  
331 corroborating the effectiveness and practicality of the model in indicating sustained drought events.  
332 Fig. 5b, also shows that the model predictions' intensity is lower than the label, and the drought  
333 intensity indicated by SPEI-3 is higher than SPI90. This discrepancy highlights the differences between  
334 indicators that consider temperature and precipitation variables (SPEI-3) versus indicators that solely  
335 rely on precipitation variables (SPI90). Therefore, using multiple indicators can mirror each other,  
336 ensuring objectivity in prediction results.

337 A severe drought in South China in June and July (Liu et al., 2022) is shown in Fig. 5c. We use  
338 SPEI-1 for analysis because the severe drought was caused by the Super East Asian monsoon, which  
339 occurred during the months of June–July and did not involve a specific start date. It can be seen in Fig.  
340 5c, drought conditions are observed in southern China in June due to extreme precipitation anomalies  
341 over the East Asia–western Pacific, and the drought conditions in the region further intensified in July,  
342 which is consistent with the analysis described by Liu et al (2022).

#### 343 **4. Discussion**

344 This study pioneers the introduction of the innovative concepts of multiple indices and multiple  
345 time scales in meteorological drought prediction. The deep learning-based intelligent prediction model  
346 satisfies the requirements for higher accuracy and spatiotemporal resolution in practical applications.  
347 This technique provides an effective data guarantee for meteorological drought-related research and  
348 disaster prevention in regions with scarce observational data, complex topography and diverse  
349 microclimate systems.

350 The overall prediction performance evaluation evidently shows that the errors and correlations  
351 between the predictions of the proposed model and the 'true' values derived by ERA5 data are

352 acceptable. The main advantages of using advanced deep learning architectures over traditional  
353 numerical simulation or statistical regression methods in solving hard-to-predict meteorological  
354 drought problems are as follows: multiple timescales and various meteorological drought indicators can  
355 be simultaneously considered; unknown and small-sample extreme events can be predicted without  
356 explicit intrinsic modelling laws; model architecture is flexible, and inference is efficient.

357 The results of spatial pattern analysis demonstrate the differences between diverse predictors.  
358 These differences likely stem from the varying meteorological variables encompassing each indicator,  
359 coupled with diverse principles and mechanisms for drought's explanations, thereby yielding distinct  
360 results. SPI solely accounts for precipitation, precluding measurement of localised variations in  
361 evapotranspiration and soil moisture retention. It also lacks representations about the causes of drought  
362 and its evolutionary mechanisms, causing difficulty in achieving an adequate and objective evaluation  
363 of regions with complex climatic and geographic situations. By contrast, SPEI calculates potential  
364 evapotranspiration that incorporates air temperature, considering the evaporative process integral to  
365 drought onset. Therefore, when evaluating drought conditions within a region of concern, the ability to  
366 draw conclusions through integrations of contextual environmental attributes and multiple indicators  
367 exemplifies its superiority and practical worth. Moreover, comparative analyses of the two indices  
368 potentially elucidate drought drivers and mechanisms pertinent to areas under investigation.

369 Temporal evolution results showcase that short-term drought primarily stems from deficient  
370 precipitation, whereas long-term drought additionally correlates with diverse climatic and geographic  
371 influences beyond rainfall patterns. Within mountainous territories hosting microclimate systems and  
372 irregular topographies, obtaining observational data requires substantial expenditures of manpower and  
373 financial resources, limiting comprehensive representation across regions. Consequently, the dearth of  
374 flexible, effective and comprehensive coverage datasets holistically characterising meteorological  
375 drought poses a critical impediment to water resource administration and hazard mitigation efforts.  
376 While the experimental findings suggest that the proposed framework may not satisfactorily perform  
377 during some intervals, the results demonstrably discern the initiation and progression of drought states  
378 across mountainous locales from diverse temporal perspectives. These capabilities are exceptionally  
379 relevant to applications and investigations within this domain.

380 The analysis results of representative drought events illustrate the value of model predictions in  
381 practice. However, Fig. 5 shows only three typical drought events occurring in the study area, which  
382 cannot sufficiently verify the generalisability of most drought events. Given the limited number of  
383 documented typical drought events, the modelling region and study timeframe should be further  
384 expanded in the future to better validate the model predictions' universality.

385 Overall, the proposed model achieved excellent performance in capturing drought trend changes,  
386 impact coverages and evolution characteristics. However, several challenges remain to be addressed.

387 One challenge is the lag effect inherent in meteorological drought occurrences. As shown in Fig.  
388 4c and d, predictions based on the monthly scale struggled with representing the latency effects of  
389 drought in a timely manner. Another challenge is the insufficiency in accurately capturing prediction  
390 intensity. Specifically, while the predictions anticipated future drought or humidity trends in target  
391 areas reasonably well, the exact severity levels are not precisely represented, especially during periods  
392 of large fluctuations, as illustrated in Fig. 3.

393 In addition, short-term anomalous variations posed difficulty for model predictions. Such  
394 discrepancies could arise from transient drought phenomena underrepresented in the training data  
395 because of their sporadic nature. Moreover, extreme precipitation events could induce sudden wetness  
396 anomalies within brief periods, severely limiting the model's ability to deliver accurate predictions  
397 when faced with abrupt changes triggered by such situations.

398 Despite certain challenges and limitations, the proposed multiscale drought model demonstrates  
399 promising capabilities for drought prediction in East Asia. It serves as a relatively effective  
400 supplementary method when observational data are insufficient. The use of gridded meteorological  
401 data products enables region-wide predictions without limitations posed by the location and  
402 distribution of meteorological observation stations. This study focuses on meteorological drought  
403 modelling within East Asia, the geographic characteristics and climate change of which are typical.  
404 Therefore, the results of the study can not only fully validate the performance of the model but also  
405 largely prove its universal application values. In addition, as the causes and changing mechanisms of  
406 meteorological drought are complex nonlinear processes, adopting multi-scale predictive model not  
407 only provides an effective means of obtaining data, but also lays the foundation for studying the  
408 temporal evolution processes and underlying mechanisms related to drought.

## 409 **5. Conclusions**

410 We developed a spatiotemporal deep learning model, leveraging ERA5, a well-recognised high-  
411 resolution gridded climatic product, to predict meteorological drought in East Asia. The proposed deep  
412 learning model employs a Swin transformer infrastructure to extract more effective semantic  
413 information and spatiotemporal properties through a window-based attention mechanism. This approach  
414 generates extensive and accurate spatiotemporal predictions.

415 The overall temporal and spatial accuracy results suggest that all index predictions are within an  
416 acceptable range. The daily-progress SPI30 results have the lowest accuracy, exhibiting an average  
417 RMSE and  $r$  value of 0.6011 and 0.5672, respectively. The highest overall accuracy is achieved by the  
418 monthly-progress, seasonal-scale SPEI-3, outperforming SPI30 by 15.6% in average RMSE and 18.2%  
419 in average  $r$ . Furthermore, specific dates in summer and winter are analysed, demonstrating the  
420 prediction's reasonable effectiveness at indicating the spatial region and extent of drought events. Our

421 spatial analysis identified two typical high mountain regions in East Asia for further precision analysis  
422 from a temporal perspective and revealed areas of insufficiency in prediction intensity and poor results  
423 in short-term abnormal changes. In addition, the analysis of three distinct drought events that occurred  
424 in 2020 revealed that multi-timescale predictions can be flexibly applied to scenarios with varying  
425 characteristics.

426

#### 427 **Declaration of competing interest**

428 The authors declare no conflict of interest.

429

#### 430 **CRediT authorship statement contribution**

431 Jia-Li Zhang: Conceptualisation, Methodology, Software, Formal analysis, Visualisation, Writing –  
432 original draft. Xiao-Meng Huang: Conceptualization, Supervision, Funding acquisition, Writing –  
433 review & editing. Yu-Ze Sun: Investigation, Data curation, Visualisation, Validation.

#### 434 **Acknowledgments**

435 This work is supported by the National Key Research and Development Program of China  
436 (2022YFE0195900, 2021YFC3101600, 2020YFA0607900, and 2020YFA0608000) and the National  
437 Natural Science Foundation of China (42125503 and 42075137).

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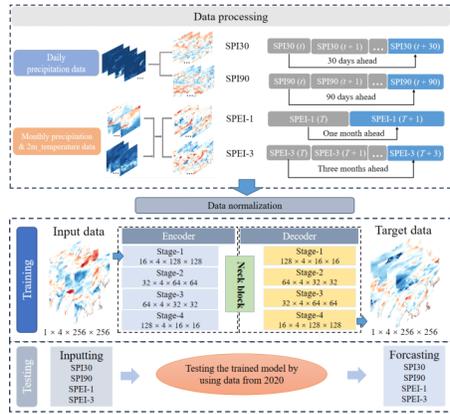
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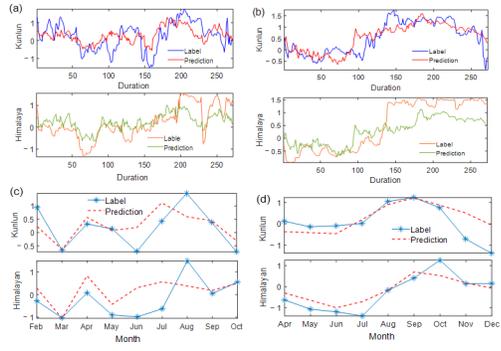
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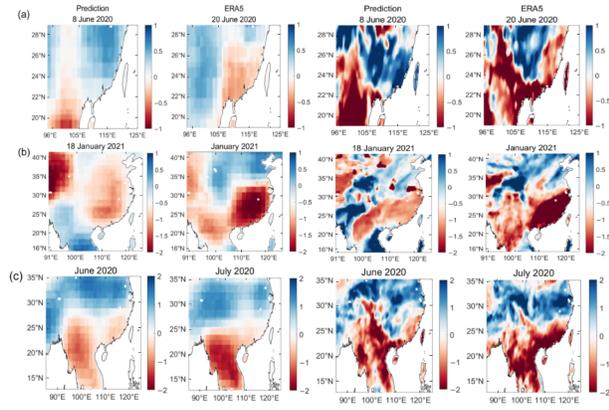
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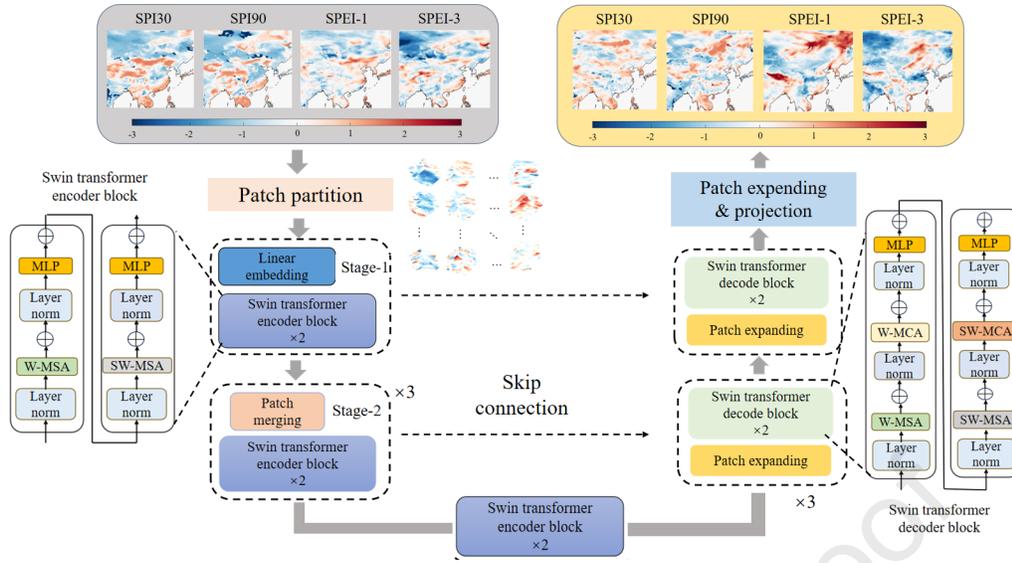
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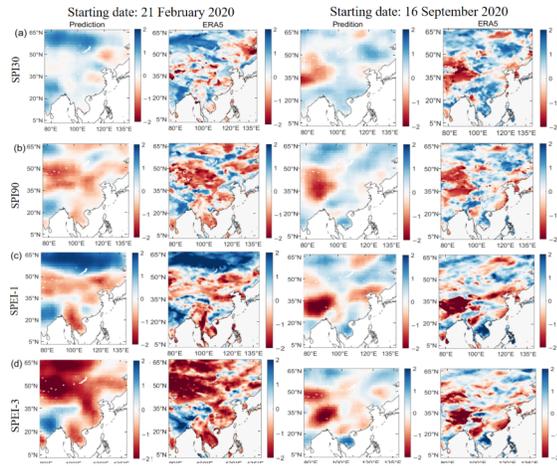


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**Declaration of competing interest**

The authors declare no conflict of interest.

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