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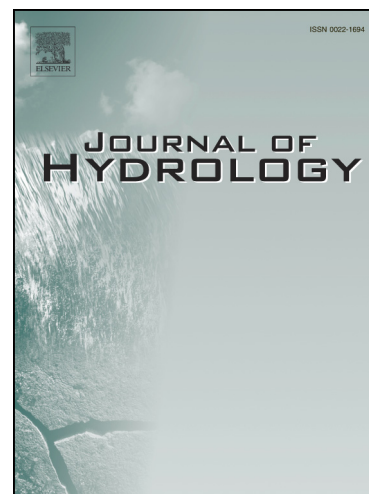
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Human and climate impacts on the 21st century hydrological drought

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Abstract

Climate change will very likely impact future hydrological drought characteristics across the world. Here, we quantify the impact of human water use including reservoir regulation and climate change on future low flows and associated hydrological drought characteristics on a global scale. The global hydrological and water resources model PCR-GLOBWB is used to simulate daily discharge globally at 0.5° resolution for 1971-2099. The model was forced with the latest CMIP5 climate projections taken from five General Circulation Models (GCMs) and four emission scenarios (RCPs), under the framework of the Inter-Sectoral Impact Model Intercomparison Project.

A natural or pristine scenario has been used to calculate the impact of the changing climate on hydrological drought and has been compared to a scenario with human influences. In the latter scenario reservoir operations and human water use are included in the simulations of discharge for the 21st century. The impact of humans on the low flow regime and hydrological drought characteristics has been studied at a catchment scale.

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Results show a significant impact of climate change and human water use in large parts of Asia, Middle East and the Mediterranean, where the relative contribution of humans on the changed drought severity can be close to 100%. The differences between Representative Concentration Pathways are small indicating that human water use is proportional to the changes in the climate. Reservoirs tend to reduce the impact of drought by water retention in the wet season, which in turn will lead to increased water availability in the dry season, especially for large regions in Europe and North America. The impact of climate change varies throughout the season for parts of Europe and North-America, while in other regions (e.g. North-Africa, Middle East and Mediterranean), the impact is not influenced by seasonal changes. This study illustrates that the impact of human water use and reservoirs is nontrivial and can vary substantially per region and per season. Therefore, human influences should be included in projections of future drought characteristics, considering their large impact on the changing drought conditions.

Keywords: Hydrological drought, Human water use, Climate change, Reservoirs, Drought characteristics, PCR-GLOBWB

1. Introduction

Climate change is expected to increase drought intensity and frequency worldwide as a result of change in precipitation patterns and rising temperature (Burke et al., 2006, Lehner et al., 2006, Feyen and Dankers, 2009, Dai, 2011, 2013, Prudhomme et al., 2014, Trenberth et al., 2014). Drought is generally related to meteorological extremes and is induced by below-normal precipitation (Wilhite and Glantz, 1985, Wilhite, 2000, Mishra and Singh,

8 2010). Lack of precipitation causes meteorological drought and agricultural
9 drought over the region, but further propagates into hydrological drought
10 via the drainage network (Tallaksen et al., 1997, Sheffield and Wood, 2007,
11 Tallaksen et al., 2009, Sheffield et al., 2012, Van Loon et al., 2014). Various
12 studies analysed the severity, frequency and trends of hydrological droughts
13 using large-scale hydrological models that enable the analysis of drought over
14 continental to global scales (Hisdal et al., 2001, Fleig et al., 2006, Feyen and
15 Dankers, 2009, Tallaksen et al., 2009, Corzo-Perez et al., 2011, Van Hui-
16 jgevoort et al., 2013, 2014, Alderlieste et al., 2014). However, the anthro-
17 pogenic impact on drought is generally less well known and such impact has
18 rarely been explored. Few exceptions are recent studies by Dai (2011, 2013)
19 and Sheffield et al. (2012) who indicated that anthropogenic global warm-
20 ing is likely responsible for intensifying meteorological droughts, primarily
21 due to enhanced evaporative demand and altered monsoon circulation over
22 regions such as Africa and Asia. Another exception by Wada et al. (2013)
23 showed that human water consumption substantially intensifies the magni-
24 tude of hydrological droughts regionally by 10-500%, and it alone increases
25 global drought frequency by 30%. However, no study has yet provided a
26 comprehensive overview of human and climate impacts on future hydrolog-
27 ical drought at the global scale. Prudhomme et al. (2014) provided future
28 projections of hydrological drought based on a large ensemble of five Global
29 Climate Models (GCMs) from the latest CMIP5 (Coupled Model Intercom-
30 parison Project Phase 5), four emission scenarios or Representative Concen-
31 tration Pathways (RCPs) and seven Global Hydrological Models (GHMs).
32 Yet, they considered only the effect of climate on hydrological drought using

33 the streamflow simulated under natural or pristine conditions such that an-
34 thropogenic influence (e.g., irrigation and reservoir regulation) on resulting
35 drought is not explicitly incorporated.

36 The severe impacts of large-scale droughts have historically showed the need
37 to improve understanding of drought mechanisms so that our society can
38 be better prepared (Trenberth et al., 1988, Gleick, 2000, Andreadis et al.,
39 2005, Seager, 2007, Gleick, 2010, Pederson et al., 2012). Thus, providing a
40 comprehensive overview of future drought projections considering both hu-
41 man and climate impacts is a vital step, ensuring future water and food
42 security. Here, we present for the first time a full global analysis of the im-
43 pact of human activities (irrigation and reservoir regulation, Wada et al.,
44 2013) and climate change on hydrological drought. We simulated streamflow
45 both under natural or pristine conditions and under conditions including
46 human influences using the global hydrological and water resources model
47 PCR-GLOBWB (Van Beek et al., 2011, Wada et al., 2011a,b, 2014) with
48 five GCMs from the latest CMIP5 and four emission scenarios (here repre-
49 sented by RCPs 2.6, 4.5, 6.0 and 8.5). We incorporate human-induced change
50 by including human water use for irrigation and reservoir regulation param-
51 eterized by the latest extensive global reservoir data set (GRanD, Lehner
52 et al., 2011). Another innovative aspect of this study is that we apply a
53 transient spatially-distributed threshold or Q_{90} (30-year window) identifying
54 drought characteristics that reflects changes in the hydrological regime over
55 time (Wanders et al., 2014), while most studies used the threshold calculated
56 over the control or historical period (e.g., 1971-2000). A transient thresh-
57 old assumes adaptation to long-term changes in the hydrological regime as

58 the drought is defined by a deviation from normal conditions (i.e. normal
59 implies decadal updated 30-year averages according to the WMO guide-
60 lines) (World Meteorological Organization, 2007, Arguez and Vose, 2010).
61 Our study stands out from earlier work by presenting for the first time the
62 human impact on future hydrological droughts using the latest multi-model
63 climate projections and multi-emission scenarios.
64 Section 2 of this paper presents a brief description of the global hydrolog-
65 ical and water resources model PCR-GLOBWB, climate forcing data, the
66 drought identification method and the simulation protocol. In Section 3 the
67 simulation results are presented and the human and climate impacts on fu-
68 ture hydrological drought are evaluated globally and per river basin. Section
69 4 discusses the advantages and the limitations of our approach and the asso-
70 ciated uncertainties, and provides conclusions from this study.

71 **2. Material and Methods**

72 *2.1. Model simulation of streamflow*

73 The state-of-the-art global hydrological and water resources model PCR-
74 GLOBWB was used to simulate spatial and temporal continuous fields of
75 discharge and storage in rivers, lakes, and wetlands at a 0.5° spatial reso-
76 lution (Wada et al., 2010, Van Beek et al., 2011, Wada et al., 2014). In
77 brief, the model simulates for each grid cell and for each time step (daily)
78 the water storage in two vertically stacked soil layers and an underlying
79 groundwater layer. At the top a canopy with interception storage and a
80 snow cover may be present. Snow accumulation and melt are tempera-
81 ture driven and modelled according to the snow module of the HBV model

82 (Bergström, 1995). To represent rain-snow transition over sub-grid elevation
83 dependent gradients of temperature, 10 elevation zones were distinguished
84 in each grid cell based on the HYDRO1k Elevation Derivative Database
85 (<https://1ta.cr.usgs.gov/HYDR01K/>), and scaled the 0.5° grid temperate
86 fields with a lapse rate of $0.65^\circ C$ per 100 *m*. The model computes the
87 water exchange between the soil layers, and between the top layer and the
88 atmosphere (rainfall, evaporation and snowmelt). The third layer represents
89 the deeper part of the soil that is exempt from any direct influence of vege-
90 tation, and constitutes a groundwater reservoir fed by active recharge. The
91 groundwater store is explicitly parameterized and represented with a linear
92 reservoir model (Kraijenhof van de Leur, 1962). No lateral flow is included
93 in the groundwater store at the global scale, however this has been imple-
94 mented successfully on a regional scale (Sutanudjaja et al., 2014). Sub-grid
95 variability is considered by including separately short and tall natural veg-
96 etation, open water (lakes, floodplains and wetlands), soil type distribution
97 (FAO Digital Soil Map of the World), and the area fraction of saturated soil
98 calculated by the Improved ARNO scheme (Hagemann and Gates, 2003) as
99 well as the spatio-temporal distribution of groundwater depth based on the
100 groundwater storage and the surface elevations as represented by the 1 *km*
101 by 1 *km* Hydro1k data set. Simulated specific runoff from the two soil lay-
102 ers (direct runoff and interflow) and the underlying groundwater layer (base
103 flow) is routed along the river network based on the Simulated Topological
104 Networks (STN30, Vörösmarty et al., 2000) using the method of character-
105 istic distances (Wada et al., 2014).
106 The PCR-GLOBWB model and model outputs have been extensively val-

107 idated in earlier work. Simulated mean, minimum, maximum, and sea-
108 sonal flow, monthly actual evapotranspiration, and monthly total terres-
109 trial water storage were evaluated against 3600 GRDC observations ([http:](http://www.bafg.de/GRDC)
110 [//www.bafg.de/GRDC](http://www.bafg.de/GRDC)) ($R^2 \sim 0.9$), the ERA-40 reanalysis data, and GRACE
111 satellite observations, respectively in earlier work (Van Beek et al., 2011,
112 Wada et al., 2012, 2014), and generally showed good agreement. Simulated
113 drought deficit volumes were also validated against those derived from ob-
114 served streamflow (from GRDC stations) for major river basins of the world
115 (Wada et al., 2013). The comparison generally showed reasonable agreement
116 for most of the basins, which leads to the conclusion that PCR-GLOBWB
117 can adequately reproduce low flow conditions and associated drought events
118 across the globe.

119 The model was forced with daily fields of precipitation, reference (potential)
120 evapotranspiration and temperature taken from five GCMs (Table 1) and
121 four underlying emission scenarios (here accounted for by using four RCPs
122 (Table 2). The newly available CMIP5 climate projections were obtained
123 through the Inter-Sectoral Impact Model Intercomparison Project (Warsza-
124 wski et al., 2014). The GCM climate forcing was bias-corrected on a grid-by-
125 grid basis (0.5° grid) by scaling the long-term monthly means of the GCM
126 daily fields to those of the observation-based WATCH climate forcing for
127 the overlapping reference climate 1960-1999 (Hempel et al., 2013). Potential
128 evapotranspiration was calculated with the bias-corrected GCM climate forc-
129 ing with the method of Hamon (Hamon, 1963). The resulting bias-corrected
130 transient daily climate fields were used to force the model over the period
131 1971-2099 with a spin-up, reflecting a climate representative prior to the start

132 of the simulation period. The results of each GCM are treated equally and
 133 no weight was given to a particular GCM based on the performance against
 134 historic climate. As a result, 20 projections (5 GCMs with 4 RCPs) of future
 135 daily streamflow were produced.

136 2.2. Drought calculation

137 Hydrological drought characteristics (e.g. drought duration and deficit
 138 volume) were derived from simulated time series of daily discharge (Q) using
 139 the variable threshold level approach (e.g. Yevjevich, 1967, Dracup et al.,
 140 1980, Tallaksen et al., 1997, Hisdal et al., 2004, Fleig et al., 2006, Tallaksen
 141 et al., 2009, Wanders et al., 2010). In this study the Q_{90} (m^3s^{-1}) was derived
 142 from the flow duration curve, where the Q_{90} is the threshold which is equalled
 143 or exceeded for 90% of the time. This threshold has been selected to study
 144 the impact of severe drought conditions and has been used in multiple studies
 145 where drought is studied for future and current hydrological conditions (e.g.
 146 Fleig et al., 2006, Parry et al., 2010, Wanders and Van Lanen, 2013, Van Loon
 147 et al., 2014).

148 The drought state is given by:

$$Ds(t, n) = \begin{cases} 1 & \text{for } Q(t, n) < Q_x(t, n) \\ 0 & \text{for } Q(t, n) \geq Q_x(t, n) \end{cases} \quad (1)$$

149 where $Q_x(t, n)$ is the threshold which is equalled or exceeded for x percent
 150 of the time and $Ds(t, n)$ is a binary variable indicating if a location or grid
 151 cell (n) is in drought at a given time t . The drought duration for each event

152 at n is calculated with:

$$Dur_{i,n} = \sum_{t=S_i}^{L_i} Ds(t,n) \quad (2)$$

153 where $Dur_{i,n}$ is the drought duration (d) of event i at n , S_i the first time
 154 step of a event i and L_i the last time step of the event. An event starts when
 155 $Q(t,n) < Q_x(t,n)$ and ends when $Q(t,n) \geq Q_x(t,n)$. The deficit volume per
 156 time step was defined by:

$$Def(t,n) = \begin{cases} Q_x(t,n) - Q(t,n) & \text{for } Ds(t,n) = 1 \\ 0 & \text{for } Ds(t,n) = 0 \end{cases} \quad (3)$$

157 where $Def(t,n)$ is the daily deficit volume of drought i (m^3s^{-1}) at n . The
 158 total drought deficit volume for each drought event was calculated with:

$$Def_i(n) = \sum_{t=S_i}^{L_i} Def(t,n) \quad (4)$$

159 where $Def_i(n)$ is the total deficit volume of the drought event i (m^3s^{-1}) at
 160 n . The deficit volume is the cumulative deviation of the discharge from the
 161 threshold over the duration of a drought event. The intensity of all drought
 162 events is calculated with:

$$Int(n) = \sum_{i=1}^I \frac{Def_i(n)}{Dur_i(n)} \quad (5)$$

163 where the total drought deficit is divided by the total drought duration of
 164 location n , for all drought events I , to obtain the total drought intensity
 165 ($m^3s^{-1}d^{-1}$). The intensity enables comparison of the drought impact for a
 166 location under different scenarios. If the $Q_x(t,n)$ equals 0 m^3s^{-1} by defini-
 167 tion a drought will not occur since $Ds(t,n)$ will remain zero (Equation 1).

168 If $Q_x(t, n)$ equals 0 m^3s^{-1} for more than 50% of the time, no drought char-
169 acteristics were calculated for this cell, although some techniques exist to
170 deal with these extreme situations (Van Huijgevoort et al., 2012). In this
171 study these locations were excluded from the analysis, since frequent zero
172 discharge situations are part of the local climate (i.e. aridity) and are not
173 manifestation of hydrological drought condition or occurrence.

174

175 2.3. Transient variable threshold approach

176 Most studies that evaluate future changes in hydrological drought use the
177 Variable Threshold level Method (VTM), to derive drought characteristics
178 (e.g. Prudhomme et al., 2014, Alderlieste et al., 2014, Forzieri et al., 2014).
179 In this study, we used transient Variable Threshold level Method approach
180 (VTM_t) developed by Wanders et al. (2014). The VTM_t was calculated
181 from the daily values of Q_x derived from simulated discharge of the previous
182 30-year period ($x = 90$, in this study). For each month, daily discharge
183 values of the last 30-year period were binned and the Q_x was calculated.
184 Thereafter, the monthly values of Q_x were smoothed with a moving average
185 window of 30-days, resulting in the variable threshold (VTM_t). The VTM_t
186 is expected to adapt to changes in the hydrological regime, based on the
187 simulation of the previous 30-year period, while the standard VTM does not
188 change over time and is normally derived from a control period (typically
189 1970-2000). Present climatology can significantly change over the future
190 period under human and climatological influences. This will result in an
191 altered hydrological regime and therefore the VTM_t was used when future
192 hydrological drought characteristics were calculated. This requires that the

193 VTM_t is calculated every day and dependent on the climatology of the last
 194 30 years for the entire future period (Wanders et al., 2014). Changes in the
 195 VTM_t will also indicate changes in the low flow regime in the 21st century.
 196 This approach is different from the more traditional non-transient threshold
 197 that is calculated from a control period and that will not adapt to changes
 198 in the hydrological regime. Figure 1 indicates, in a theoretical example, the
 199 difference between the traditional non-transient threshold and the transient
 200 threshold. It shows that the threshold will gradually change, since the VTM_t
 201 was derived from the discharge of the previous 30-year period, instead of a
 202 extrapolation of the threshold based on the discharge from a control period.

203 2.4. Assessment of climate and human impact

204 To assess the impact of climatic changes on hydrological drought charac-
 205 teristics for the 21st century, two periods were compared. The first period
 206 runs from 1971-2000 (control period, ctrl) and the second period runs from
 207 2070-2099 (future period). For both periods a scenario with natural con-
 208 ditions (pristine) was considered to derive hydrological droughts. In this
 209 scenario no human impacts were included and only climate change affects
 210 the changes in hydrological drought characteristics. To evaluate the impact
 211 of climate change, the changes (in percent) in the low flow regime have been
 212 calculated by:

$$dVTM_{clim_t} = \frac{VTM_{future_t} - VTM_{ctrl_t}}{VTM_{ctrl_t}} \times 100 \quad (6)$$

213 where $dVTM_{clim_t}$ is the change in the low flow regime, VTM_{ctrl_t} and
 214 VTM_{future_t} are the transient variable thresholds for the control and future
 215 period, respectively. Thereafter, the VTM_t was used to calculate the drought

216 characteristics, for both the periods. The changes for the future period in
 217 the ensuing deficit volumes calculated compared to control period is thus
 218 an indication of the impact of climate change on hydrological drought. The
 219 relative climate impact on the deficit volume is given by:

$$dDef_{clim} = \frac{Def_{future} - Def_{ctrl}}{Def_{ctrl}} \times 100 \quad (7)$$

220 where $dDef_{clim}$ is the relative impact of climate change on the drought deficit
 221 volume, Def_{ctrl} and Def_{future} are the drought deficit volumes for the control
 222 and future period under the pristine condition, respectively.

223 To assess the impact of human water use and reservoirs on projected changes
 224 in hydrological drought characteristics for the 21st century, two scenarios
 225 have been used. The pristine scenario has been compared to a scenario with
 226 human influences (human). In the scenario with human influences, water
 227 is abstracted according to the local water demand and associated reservoir
 228 operations are included (Wada et al., 2014). Reservoirs are located on the
 229 drainage or river network based on the newly available and extensive Global
 230 Reservoir and Dams Dataset (GRanD, Lehner et al., 2011) that contains
 231 6,862 reservoirs with a total storage capacity of 6,197 km^3 . The reservoirs
 232 were placed over the river network based on the year of their construction.
 233 Water is abstracted from surface water (river discharge, reservoirs and lakes)
 234 and groundwater, part of it comes back to the river network as return flow
 235 and part of it is consumed. Human water use was calculated for the irrigation
 236 sector only, since comprehensive sets of socio-economic projections are not
 237 yet available consistently across all RCPs under SSPs (Shared Socioeconomic
 238 Pathways), which can be used to estimate industrial and domestic water use.
 239 Irrigation water use was simulated with PCR-GLOBWB per unit crop area

240 based on the surface water balance (surface water layer for paddy rice) and
 241 the soil water balance (soil moisture deficit in the root zone calculated from
 242 the difference between the water content at field capacity and the water con-
 243 tent at wilting point) (Wada et al., 2014). Irrigated areas were obtained from
 244 the MIRCA2000 data set (Portmann et al., 2010). The losses during water
 245 transport and irrigation application were included in the calculation based
 246 on daily evaporative and percolation losses per unit crop area. Current land
 247 use and population density are constant over time since only limited sets of
 248 socio-economic data and no future irrigated area projections are available for
 249 the 21st century. Meteorological forcing from five different GCMs with four
 250 RCPs have been used to project discharge for the 21st century for both the
 251 pristine and human scenario. Effects on projected discharge have been stud-
 252 ied, where the changes per RCP were calculated using the ensemble mean of
 253 all GCMs. Thereafter, the relative impact of each scenario on the projected
 254 changes in hydrological drought has been studied by comparing both scenar-
 255 ios.

256 For both scenarios the transient threshold (VTM_t) was calculated and com-
 257 pared (see Figure 1 for the example). By making a comparison between
 258 both thresholds (one for each scenario), the impact of human water use and
 259 reservoirs on the low flow regime can be studied. Additionally, this enables
 260 a comparison between the impact of climate change and human water ab-
 261 straction on the changes in the low flow regime. The impact (in percent) of
 262 human influence on the low flow regime is calculated by:

$$dVTM_{human_t} = \frac{HumanVTM_t - PrisVTM_t}{PrisVTM_t} \times 100 \quad (8)$$

263 where $dVTM_{human_t}$ is the change in the low flow regime, $PrisVTM_t$ and
 264 $HumanVTM_t$ are the transient variable thresholds for the pristine and hu-
 265 man scenario, respectively. Thereafter, the VTM_t of the pristine scenario
 266 was used to calculate the drought characteristics, for both the pristine and
 267 human scenario. By selecting the pristine VTM_t the relative impact of hu-
 268 man influences could be calculated and compared to the impact of climate
 269 change. The increase in the ensuing deficit volumes calculated compared to
 270 the pristine condition is thus an indication of the anthropogenic intensifi-
 271 cation of hydrological drought. The relative impact (in percent) of human
 272 water abstraction and reservoirs on the deficit volume is given by:

$$dDef_{human} = \frac{HumanDef - PrisDef}{PrisDef} \times 100 \quad (9)$$

273 where $dDef_{human}$ is the relative impact of humans on the drought deficit
 274 volume, $HumanDef$ and $PrisDef$ are the drought deficit volumes under
 275 the human and pristine scenario, respectively.

276 The combined impact of both climate change, and human water use and
 277 reservoirs has been studied, by comparing the control period for the pristine
 278 scenario with the future period of the human scenario. The relative total
 279 impact (in percent) on the deficit volume is given by:

$$dDef_{combi} = \frac{HumanDef_{future} - PrisDef_{ctrl}}{PrisDef_{ctrl}} \times 100 \quad (10)$$

280 where $dDef_{combi}$ is the relative combined impact of climate change, and hu-
 281 man water use and reservoirs on the drought deficit volume, $PrisDef_{ctrl}$
 282 is the deficit volume for the control period under pristine conditions and
 283 $HumanDef_{future}$ is the drought deficit volumes for the future period under
 284 the human scenario.

285 In the following sections, the results are presented first on a global scale to
286 assess the regions in which humans have a larger impact than climate on fu-
287 ture hydrological drought. Thereafter, the differences between the scenarios
288 were studied for major river basins of the world, where drought events are
289 known to be influenced by human water abstraction and reservoirs regula-
290 tions (Wada et al., 2013). This would give an improved insight in the hydro-
291 logical processes and the impact of humans on a river basins scale. Drought
292 characteristics were calculated for the last 30 years of the 21st century for
293 the major river basins.

294 **3. Results**

295 *3.1. Climate impact on a global scale*

296 On a global scale the impact of climate change on the low flow regime
297 ($dVTM_{clim_t}$, Equation 6) has been evaluated and compared for the control
298 and the future period (Figure 2). It is shown that climate change has a
299 negative impact on the low flow regime (decrease of 10% or more) in South-
300 America, Australia, Southern-Africa, Southeast Asia and the Mediterranean.
301 Positive impacts on the low flow regime are found in Northwest Africa and
302 large parts of Northern Europe, Russia and Canada. Differences between
303 RCPs are small, whereas a slightly larger impact is found for the higher CO2
304 emission scenarios (e.g., RCP6.0 and 8.5).

305 The impact of climate change on the drought deficit volumes ($dDef_{clim}$,
306 Equation 7) is projected to be severe in large parts of the world (Figure
307 3). This is especially true for regions in Northern Africa, Eastern part of
308 the United States and Southern Europe. In these regions drought deficit

309 will likely increase by more than 50%, and for some regions it will increase
310 even up to over 100%. A slightly negative impact (about 10%) exists on
311 the $dVTM_{clim,t}$ for regions in Southeast Asia and South-America, however,
312 this does not result in a negative impact on the $dDef_{clim}$. The agreement
313 amongst different RCP scenarios is high, and only small uncertainty remains
314 in the projections for North-America and Europe.

315 It is concluded that the impact of climate change on hydrological drought
316 characteristics is high and associated uncertainties amongst RCPs are low.
317 Although some regions show a negative impact in the low flow regime, this
318 does not necessarily result in increased drought deficit volumes. Overall,
319 drought conditions in most of the world are projected to be negatively im-
320 pacted by climatic changes.

321 *3.2. Impact of human water use on a global scale*

322 On a global scale the thresholds for the pristine scenario and the human
323 scenario ($dVTM_{human,t}$, Equation 8) have been compared (Figure 4). As
324 expected the $dVTM_{human,t}$ decreased in Asia and the Mediterranean, where
325 the impact of human water use exceeds the compensating effect brought by
326 reservoirs operations. The water abstraction in these regions results in a
327 negative impact on the low flow regime, where low flows reduce as a result of
328 the water abstraction. For central Europe and the United States the reser-
329 voir regulation measures compensate the negative impact caused by water
330 abstraction and overall human influences have a positive impact on the low
331 flows. Similar to the climate change impacts, differences between different
332 RCP scenarios are small, with only slightly higher $dVTM_{human,t}$ values for
333 RCP2.6. This is likely caused by the relatively small impact of the climate on

334 $dVTM_{human_t}$ compared to that of human water abstraction. For RCP8.5
335 the impact of climate change is project to be more severe compared to the
336 human contribution to the overall changes in the low flow regime.

337 The impact of humans on the drought deficit volumes ($dDef_{human}$, Equation
338 9) is more pronounced than the impact on the $dVTM_{human_t}$ (Figure 5),
339 which is mainly caused by the reduced water availability as a result of water
340 use. In some regions abstractions and water regulation measures account for
341 almost 200% of the net increase in deficit volume for the 21st century. A neg-
342 ative $dDef_{human}$ is found where water regulating measures reduce drought
343 deficits as a direct result of water retention over the year. This effect is found
344 in large parts of Europe, where a large number of reservoirs exist. Addition-
345 ally, regions in Southern Africa and South America show a impact of the
346 reservoirs operations on the drought deficit volumes. Differences between
347 RCPs are minor indicating that the contribution of humans to the changes
348 in drought deficit is proportional to the changes in the climate. From this
349 analysis it was derived that the mechanisms between drought, and human
350 water use and reservoir regulation measures is nontrivial. The combined ef-
351 fect of human water use and river regulations could result in both a positive
352 or negative impact on the low flow regime.

353 3.3. Combined impact on a global scale

354 The impact of climate change, and human water use and reservoirs has
355 been studied for the changes in deficit volumes ($dDef_{combi}$, Equation 10).
356 To this end, the pristine scenario under the control period was compared to
357 the human scenario under the future period (i.e., the end of this century,
358 Figure 6). It is clear that the combined impact results in severely increased

359 drought deficit volumes, up to 100% from the control period. Regions in-
360 clude Southeast Asia and the Mediterranean, which are not projected to be
361 impacted by climate change (Figure 3), will likely be heavily impacted by
362 the additional driving force of the human water use. The severity of the
363 $dDef_{combi}$ increase is less severe for regions in Russia, Europe and North-
364 America. Although different RCP scenarios agree upon to a high degree, for
365 Europe the directionality of the changes is expected to be dependent on the
366 RCP. It is concluded that the combined impact overall results in an increased
367 drought deficit volumes and hence increases drought vulnerability, especially
368 for Southeast Asia, Middle East and North-Africa.

369 *3.4. Impact of climate and human water use - seasonal decomposition*

370 The impacts of climate change, and reservoirs and human water use on
371 the drought deficit have been studied for each season separately. To assess
372 the impact of climate change the control and future period under the pristine
373 scenario were compared (Figure 7) while for the impact of human water use
374 and reservoirs the human and pristine scenario have been compared, for the
375 period 2070-2099 (Figure 8). For these analyses, the simulations for all RCPs
376 were averaged, because it was shown by our previous analyses (see Figure 2,
377 3, 4 and 5) that the differences between RCPs are minor.

378 The impact of climate change is visible throughout the world (Figure 7),
379 where the largest impacts are again found in Northern Africa, Eastern part
380 of the United States and Southern Europe. Seasonality in the projected
381 changes is mainly found in Europe and North-America, where the biggest
382 increase in drought deficit volumes is found in winter (December - January
383 - February). In the other seasons the impact of climate change is projected

384 to be less or even reduce the observed drought deficit volumes. A non-
385 seasonal or constant climate impact is found for Northern-Africa (negative)
386 and Southeast Asia (positive).

387 Clear patterns are also visible for the impact of human water use and reser-
388 voirs, for example in Asia and the Mediterranean, again, showing a positive
389 $dDef_{human}$ (Figure 8). The magnitude of the $dDef_{human}$ varies over the year,
390 with a peak in the respective dry season for each region. For the United
391 States, spring droughts are projected to be more severe as a result of water
392 retention of the snow melt peak in reservoirs. Drought deficit volumes in
393 summer and autumn for the United States are projected to decrease as a
394 results of increased water compared to the pristine conditions. In Europe,
395 reservoirs result in a longer retention of water throughout the year, leading
396 to less seasonal discharge and hence lower deficit volumes.

397 *3.5. River discharge simulation and impact of human water use per basin*

398 For selected river basins (e.g. Mississippi and Indus) the discharge be-
399 tween the pristine and human scenario has been compared, to study the
400 impact of reservoirs and human water use on the discharge regime (Figures
401 9 and 10). As expected, annual discharge decreased as a result of increased
402 evapotranspiration due to irrigation water use. However, the addition of
403 reservoirs to the river network has a dampening impact on the annual cycle
404 in the discharge regime. Although, annual average discharge generally de-
405 creased as a result of increased evapotranspiration from the water surface of
406 the reservoirs and irrigation, the decrease is not equally distributed through-
407 out the year. In general, peak flows are reduced as a result of the buffering
408 capacity of the reservoirs and low flow levels are increased even though the

409 water abstraction results in overall lower water availability. The dampening
410 effect for some rivers is projected to result in a decreased drought severity in
411 the low flow season due to increased water availability. This effect is obvious
412 in some, mostly strongly regulated, river basins in the world where human
413 water abstraction does not exceed the compensating effect (i.e., buffering
414 capacity) of the reservoirs on the low flows. These river basins are mainly
415 situated in Europe and North America (e.g. Mississippi, Figure 9). The
416 dampening effect is mainly important in the low flow season when discharge
417 rates are low and droughts tend to have the largest impact on the natural
418 ecosystem and society.

419 Other major river basins suffer from large abstractions of water for irriga-
420 tion, resulting in an overall decreased water availability throughout the year.
421 For these basins, the reservoir regulating measures are not enough to com-
422 pensate the abstraction, and thus the low flow regime changes to even drier
423 conditions. This effect is especially strong for some major river basins in the
424 Middle East and Asia (e.g. Indus, Figure 10). In these regions, human wa-
425 ter abstractions are large and have a strong negative impact on the drought
426 severity and vulnerability. The projected impacts vary slightly for different
427 RCPs, however, the trend directions are similar. For the Mississippi (Figure
428 9) a regime shift with earlier peak flows is projected for the RCPs with a
429 higher temperature rise (RCP6.0 and 8.5), leading to increased and earlier
430 snowmelt. This also impacts the timing and level of the low flow regime.
431 For the Indus (Figure 10) the water availability is projected to increase from
432 RCP2.6 to RCP8.5. However, the water abstraction is also projected to in-
433 crease towards RCP8.5 leading to a significant reduction of the low flow level.

434 The changes in the streamflow climatology show a decrease in total water
435 availability for the selection of the major river basins (Figure 11). On average
436 the streamflow climatology will likely be smoothed throughout the year due
437 to reservoir regulation. The combined impact of this reduced water avail-
438 ability and regulation measures does not always result in a reduction of the
439 threshold as is shown in Figure 12. The threshold under human influence
440 is not always lower than the pristine threshold (e.g. Mississippi, Colorado,
441 Volga) and the regulation measures counteract the reduced water availability.
442 Drought characteristics have been calculated to analyse the impact of reser-
443 voirs and human water use on the severity and frequency of drought events
444 (Table 3 and 4) for selected river basins. In the current situation (1971-2000)
445 the drought frequency, severity and intensity are increased due to human in-
446 fluences for almost all rivers. The human impact is very large in regions
447 known to be affected by severe water abstractions such as Asia and North
448 America. For example, the Huang He and Colorado are severely impacted
449 and drought characteristics are intensified by five to tenfold compared to
450 the natural conditions. In general, drought events tend to be more severe
451 and frequent for the selected river basins. When the VTM_t is applied to
452 the future period, drought characteristics in the pristine simulation do not
453 significantly change. However, since the VTM_t is adapted to the climatol-
454 ogy, the actual low flow level might still reduce significantly, as shown in
455 Figure reffig:RiverThreshold. The human influence on drought shows that
456 the drought intensity increases for all rivers, with the exception of the Mis-
457 sissippi and Danube. In these rivers, the reservoirs result in more regulated
458 discharge leading to longer, but less severe drought events. The increase in

459 deficit volume impacts the severity of the drought events and likely increases
460 the vulnerability of our society and nature. The relative increase in drought
461 deficits is largest for the Indus and Huang He, while for the Mississippi the
462 impacts are minor as a result of strong river regulation measures. It should
463 be noted that for many river basins in the world no impact was found since
464 human water use is negligible and reservoir regulations are minimal or reser-
465 voirs are absent. In general, human water use increases drought duration
466 and severity, however, this effect can be (partly) compensated by reservoir
467 regulations that retain the water for prolonged periods.

468 4. Discussion and Conclusions

469 In this study the impact of climate change, and human water use and
470 reservoirs on projected hydrological drought characteristics for the 21st cen-
471 tury has been studied. Obtained future simulation results were compared
472 to the control period or the pristine scenario (climate change only) and
473 the relative contribution of humans was compared to the impact of climate
474 change. The impact of climate change on the low flow regime and hydro-
475 logical drought characteristics is projected to be severe. Large regions are
476 expected to suffer from a negative impact of climate change on drought deficit
477 volumes. Additionally, it was found that the impact of water use and reser-
478 voir on hydrological drought characteristics is none trivial and can vary de-
479 pending on the local climate and available water resources.

480 The approach used here is limited by the analysis of only one GHM, where
481 it would be more comprehensive to use an ensemble of GHMs (e.g. Prud-
482 homme et al., 2014, Van Huijgevoort et al., 2014). However, due to the

483 fact that many GHMs do not incorporate the human water abstraction or
484 reservoir regulations, this type of analysis is difficult. Nevertheless, a multi
485 GHM analysis would increase our understanding of uncertainties in future
486 projections of the impact of humans on hydrological drought.

487 A limitation of the present study is that the abstraction of water is related to
488 the current extent of agricultural irrigation for each region. The expected ex-
489 pansion of irrigated areas is projected to cause a further increase in irrigation
490 water demand in some regions (e.g., Africa, South America). Additionally,
491 population growth will result in increased demand for drinking water and
492 industrial activities (Wada et al., 2013), leading to a higher water demand.
493 Especially in areas like Africa, the population is projected to increase sub-
494 stantially. The changes in land use could also significantly alter the propaga-
495 tion of drought and hydrological drought characteristics (Van Lanen et al.,
496 2013). The projected changes in population and land use were currently
497 not included, due to low data availability and high projection uncertainty,
498 but could be important when higher accuracy is warranted for future projec-
499 tions. Future hydrological drought characteristics may be altered for regions
500 like Africa, where changes are expected, in both water demand and land use.
501 Since the relative contribution of human influence on hydrological drought for
502 the 21st century has not been studied globally to our knowledge, it is difficult
503 to compare the obtained results with existing studies. However, areas with a
504 high human impact on drought characteristics as identified in this study have
505 been also indicated by Wada et al. (2014) showing high groundwater deple-
506 tion rates. One of the main conclusions from this study is that the increased
507 drought vulnerability as a direct result of human water abstraction can be

508 compensated by river regulating measure of reservoirs. Reservoirs retain the
509 water for longer times compared to pristine conditions and thus lead to a
510 smoothed hydrograph, with lower peak flows and higher low flows. This will
511 also directly impact the severity of droughts in the human-controlled sys-
512 tems, where the low flows are partly compensated by extra water availability
513 due to retention in the reservoirs. This phenomenon is mainly found in the
514 United States and Europe, where the number of reservoirs is large.
515 Furthermore, it is found that human influence can account for almost 100%
516 of the changes in future hydrological drought in areas such as Asia, Middle
517 East and the Mediterranean. These areas are heavily impacted by water
518 abstraction and reservoirs are not enough to compensate for these severe wa-
519 ter abstractions. In these regions low flows are expected to be even lower
520 in future and drought deficit volumes will likely increase significantly. The
521 differences among the RCPs in the obtained results are minor, indicating
522 that the impact of human influence is proportional to the magnitude of the
523 climate change.
524 Finally, the seasonal changes in drought characteristics were studied by look-
525 ing at the projected drought events for the period 2070-2099 and the relative
526 contribution of climate change and humans to these events. Climate change
527 is projected to result in increased deficit volumes in large parts of the world,
528 however, seasonal effects play an important role. The impact of summer
529 drought in the Northern Hemisphere is expected to be lower or sometimes re-
530 sult in decreased drought deficit volumes. It is shown that reservoirs increase
531 the drought deficit volumes in the wet season, when the water availability is
532 high, and reduces the deficit volume in the dry season. In the dry season the

533 retained water in the reservoirs is slowly released, positively impacting deficit
534 volumes compared to the pristine scenario. In large parts of Asia, the Middle
535 East and the Mediterranean a high impact of human water abstraction on
536 future drought deficits is found. The impact varies throughout the year and
537 shows a high correlation with the temporal pattern in human water demand.
538 In the crop growing season, water abstractions are project to be more severe
539 leading to more severe drought events, while the impact is expected to be
540 reduced in the wet season, due to large water availability and lower human
541 water demands..

542 It is concluded that the human impact on projected hydrological drought is
543 pronounced, which has been neglected in most projections for future hydro-
544 logical drought. Better scenarios of future human water demand could lead
545 to a more skilful projection for the 21st century, however, they are not avail-
546 able yet due to the lack of comprehensive future socio-economic and land
547 use projections that are consistent with each another. Human water use and
548 reservoirs have nowadays substantial impacts on global hydrology and wa-
549 ter resources, and should therefore be included in global hydrological models
550 that are used for projections of the future hydrological droughts. This will
551 significantly improve our understanding of future hydrology and the changes
552 in hydrological drought characteristics.

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Table 1: GCMs (Global Climate Models) used in this study.

GCM	Organization
HadGEM2-ES	Met Office Hadley Centre
IPSL-CM5A-LR	Institute Pierre-Simon Laplace
MIROC-ESM-CHEM	JAMSTEC, NIES, AORI (The University of Tokyo)
GFDL-ESM2M	NOAA Geophysical Fluid Dynamics Laboratory
NorESM1-M	Norwegian Climate Centre

Table 2: Overview of representative concentration pathways (RCPs) (Van Vuuren et al., 2011). Radiative forcing values include the net effect of all anthropogenic greenhouse gases and other forcing agents.

RCP	Scenario
2.6	Peak in radiative forcing at $\sim 3.1 \text{ W m}^2$ ($\sim 490 \text{ ppm CO}_2$ equivalent) before 2100 and then decline (the selected pathway declines to 2.6 W m^2 by 2100).
4.5	Stabilization without overshoot pathway to 4.5 W m^2 ($\sim 650 \text{ ppm CO}_2$ equivalent) at stabilization after 2100
6.0	Stabilization without overshoot pathway to 6 W m^2 ($\sim 850 \text{ ppm CO}_2$ equivalent) at stabilization after 2100.
8.5	Rising radiative forcing pathway leading to 8.5 W m^2 ($\sim 1370 \text{ ppm CO}_2$ equivalent) by 2100

Table 3: Impact of reservoirs and human water abstractions on drought characteristics compared to the pristine conditions, for the period 1971-2000 and selected rivers. Average drought duration, deficit volume per drought event and the total drought intensity are given. The drought characteristics are obtained with the pristine threshold (derived from the period 1971-2000). The characteristics are averaged over all RCPs and GCMs.

River	Pristine			Human		
	Average event		Drought	Average event		Drought
	Duration (<i>d</i>)	Deficit (m^3s^{-1})	Intensity ($m^3s^{-1}d^{-1}$)	Duration (<i>d</i>)	Deficit (m^3s^{-1})	Intensity ($m^3s^{-1}d^{-1}$)
Indus	6.68	3642	545	32.59	67098	2059
Yangtze	5.68	9324	1640	10.36	32223	3110
Huang He	3.42	449	131	32.26	43753	1356
Ganges	4.57	5973	1306	10.92	22438	2054
Mekong	12.96	9344	721	21.72	21504	990
Mississippi	12.71	36501	2873	16.47	43569	2645
Colorado	5.26	494	94	26.83	5452	203
Murray-Darling	29.69	11934	402	37.81	17409	460
Danube	8.48	7581	893	13.88	10549	760
Volga	11.67	7179	615	25.66	46129	1797

Table 4: Impact of reservoirs and human water abstractions on drought characteristics compared to the pristine conditions, for the period 2070-2099 and selected rivers. Average drought duration, deficit volume per drought event and the total drought intensity are given. The drought characteristics are obtained with the transient pristine threshold (derived from the period 30-year moving window). The characteristics are averaged over all RCPs and GCMs.

River	Pristine			Human		
	Average event		Drought	Average event		Drought
	Duration	Deficit	Intensity	Duration	Deficit	Intensity
	(<i>d</i>)	($m^3 s^{-1}$)	($m^3 s^{-1} d^{-1}$)	(<i>d</i>)	($m^3 s^{-1}$)	($m^3 s^{-1} d^{-1}$)
Indus	7.75	4866	628	33.65	70173	2085
Yangtze	6.58	11481	1744	10.51	33011	3142
Huang He	4.27	535	125	28.84	37828	1312
Ganges	4.07	4507	1107	13.00	24083	1852
Mekong	15.60	11601	743	27.50	31481	1145
Mississippi	15.68	50522	3221	17.91	50342	2810
Colorado	6.21	691	111	25.56	4855	190
Murray-Darling	38.15	17076	448	52.50	27947	532
Danube	9.31	9324	1002	15.44	13033	844
Volga	11.43	9083	794	24.62	36724	1492

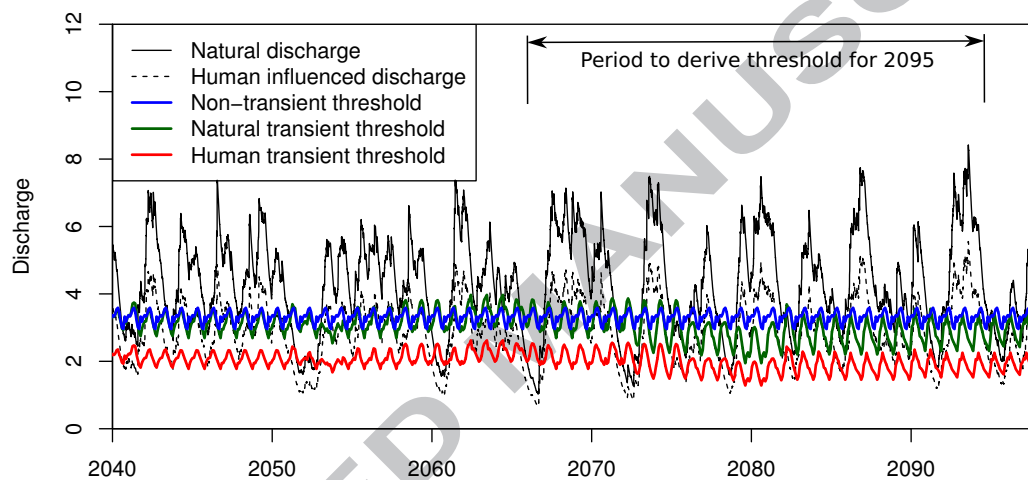


Figure 1: Example time series of threshold calculation for different scenarios. The traditional non-transient threshold is derived from the natural discharge for a control period (typically 1970-2000). The natural transient threshold is derived from the natural discharge of the previous 30-year period. The human transient threshold is derived from the human influenced discharge of the previous 30-year period.

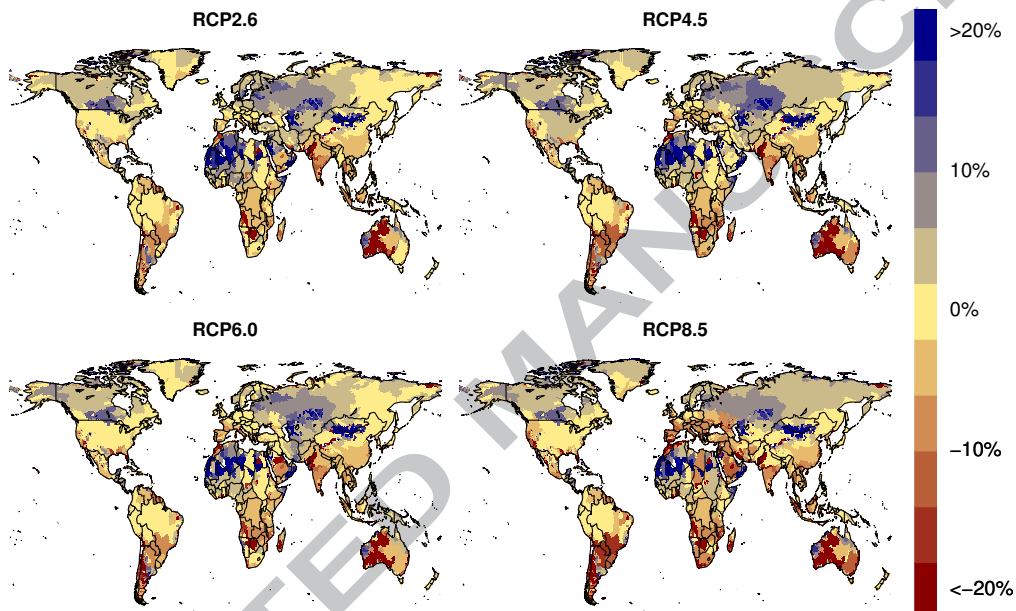


Figure 2: Climate impact on drought threshold (Q_{90} , $dVTM_t$) compared between the periods 1971-2000 and 2070-2099. Impact is calculated as a percent where positive percentages indicate an increase in the Q_{90} and negative percentages indicate a decrease in the Q_{90} as a result of climate change. Each plot gives the ensemble mean impact derived from 5 GCMs for different RCPs.

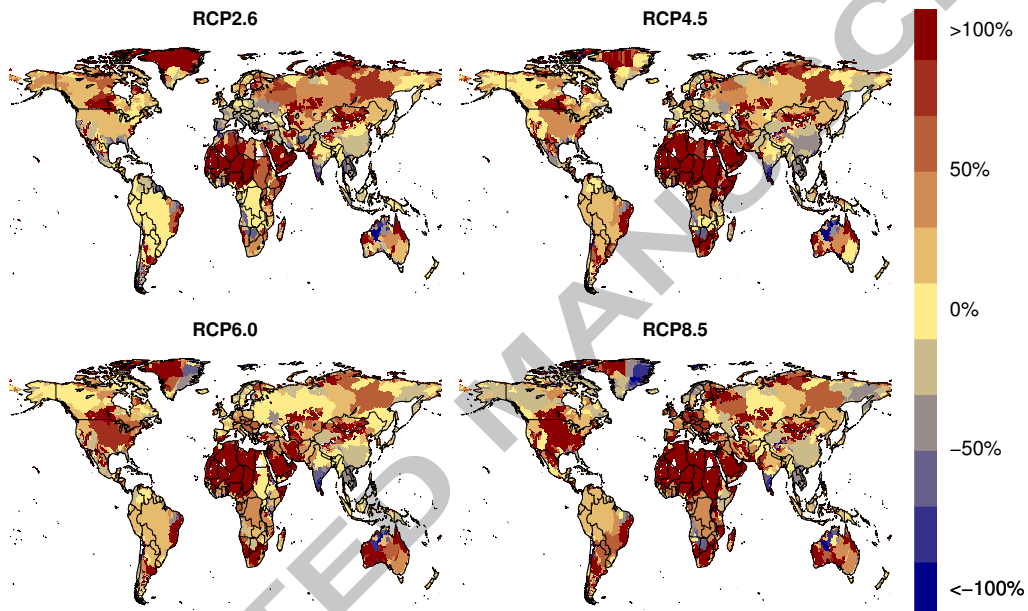


Figure 3: Climate impact on drought deficit volume ($dDef$), compared between the periods 1971-2000 and 2070-2099. Each plot gives the annual average impact derived from 5 GCMs for different RCP scenarios. Impact is calculated as a percent, where positive percentages indicate a increase in the drought deficit volume and negative percentages indicate an decrease in the drought deficit volume as a result of climate change.

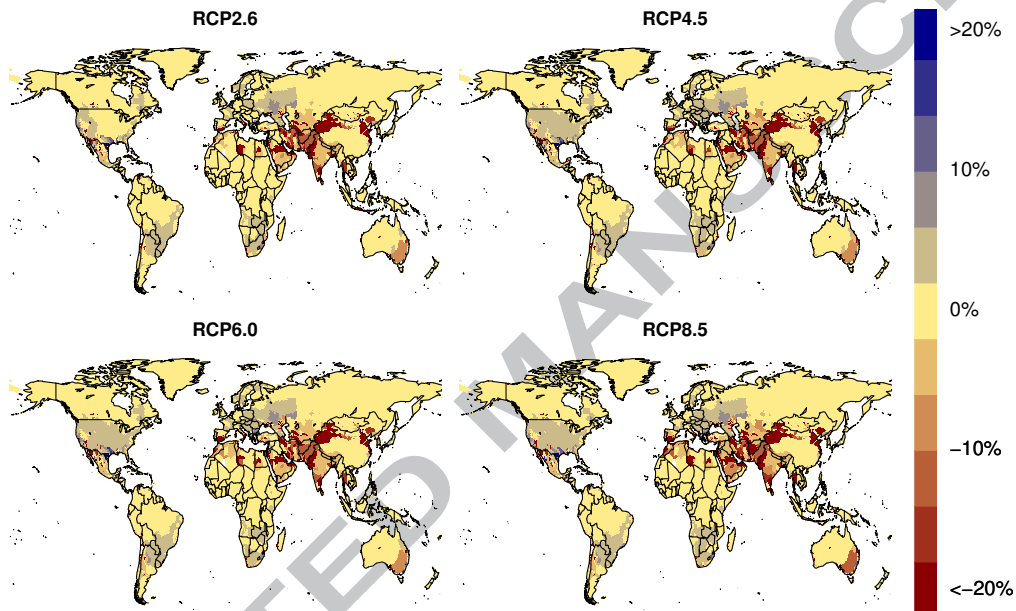


Figure 4: Impact of reservoirs and human water use on drought threshold (Q_{90}) compared to the pristine conditions ($dVTM_{human_t}$), over the period 2070-2099. Impact is calculated as a percent where positive percentages indicate an increase in the Q_{90} and negative percentages indicate a decrease in the Q_{90} as a result of human water use and reservoirs. Each plot gives the ensemble mean impact derived from 5 GCMs for different RCPs.

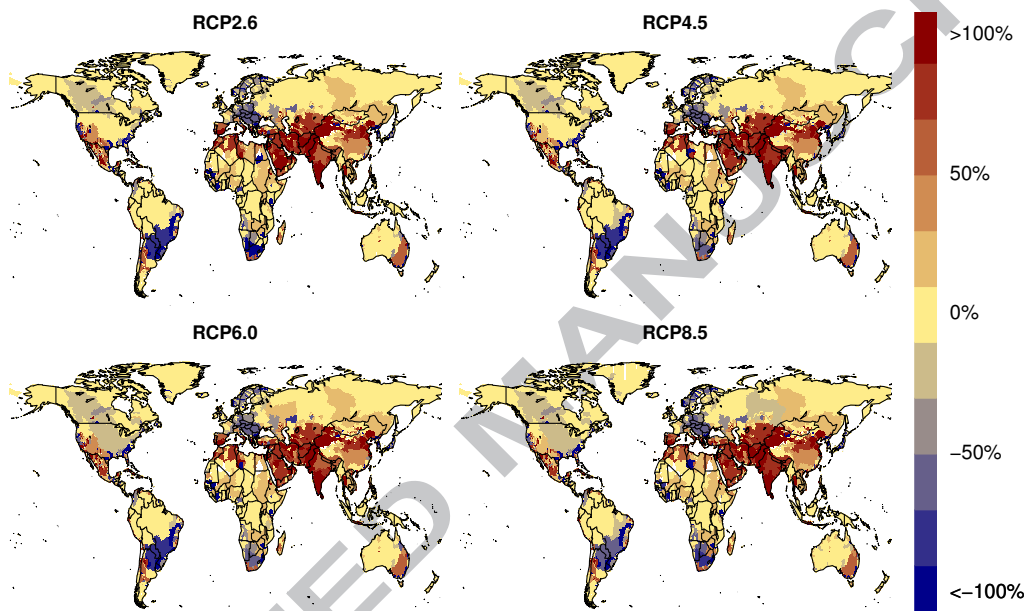


Figure 5: Impact of reservoirs and human water use on drought deficit volume compared to the pristine conditions ($dDef_{human}$), over the period 2070-2099. Each plot gives the annual average impact derived from 5 GCMs for different RCP scenarios. Impact is calculated as a percent, where positive percentages indicate a increase in the drought deficit volume and negative percentages indicate an decrease in the drought deficit volume as a result of human water use and reservoirs.

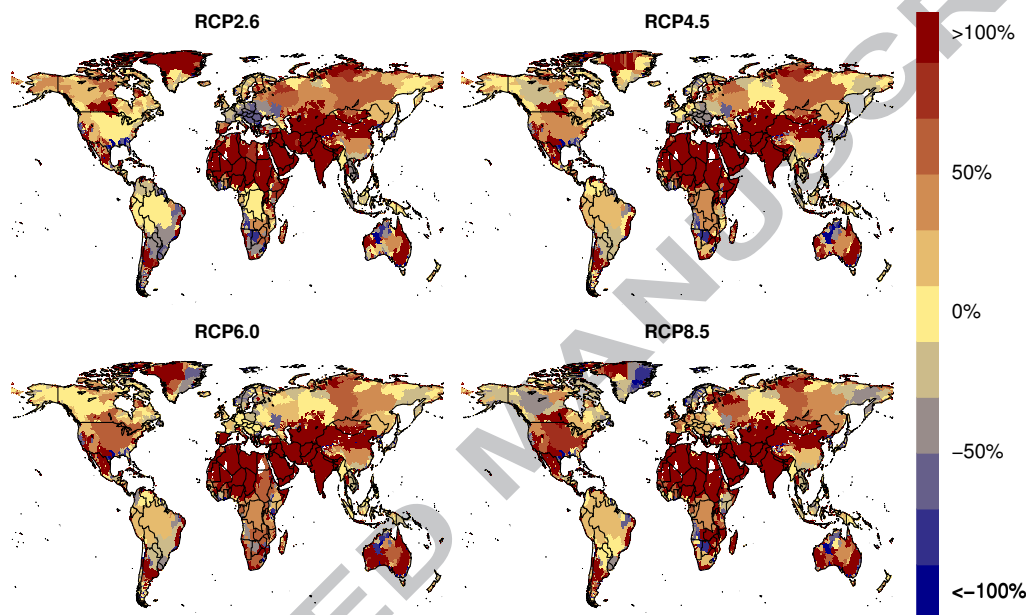


Figure 6: Impact of climate change, human water use and reservoirs on drought deficit volume ($dDef_{combi}$), comparison between the periods 1971-2000 (pristine scenario) and 2070-2099 (water use scenario). Each plot gives the annual average impact derived from 5 GCMs for different RCP scenarios. Impact is calculated as a percent, where positive percentages indicate a increase in the drought deficit volume and negative percentages indicate an decrease in the drought deficit volume as a result of climate change, human water use and reservoirs.

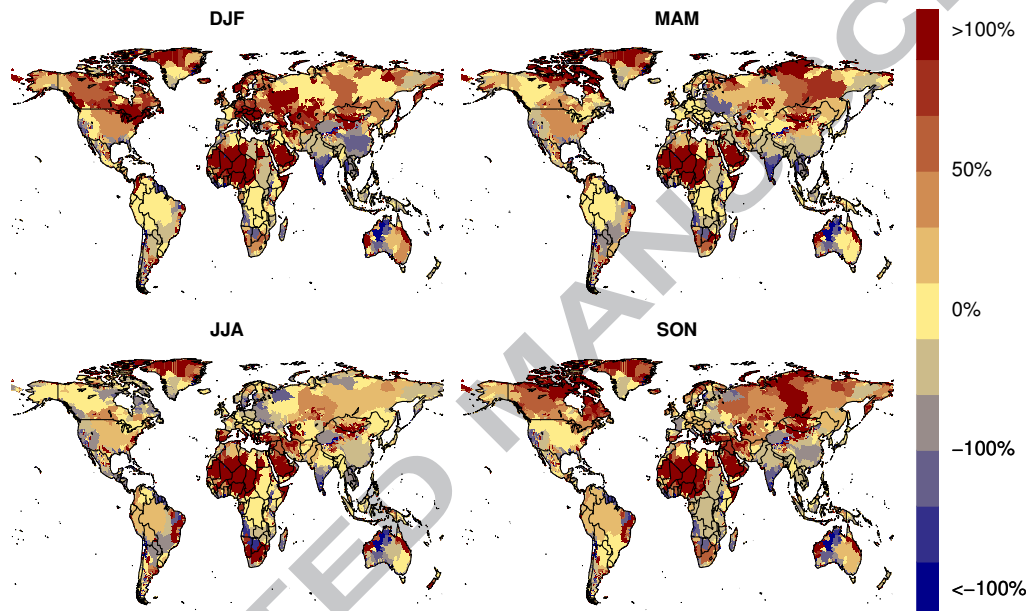


Figure 7: Impact of climate change on drought deficit volume ($dDef$) comparison between the periods 1971-2000 and 2070-2099. Each plot gives the seasonal average derived from 5 GCMs and 4 RCPs. Impact is calculated as a percent, where positive percentages indicate a increase in the drought deficit volume and negative percentages indicate an decrease in the drought deficit volume as a result of climate change.

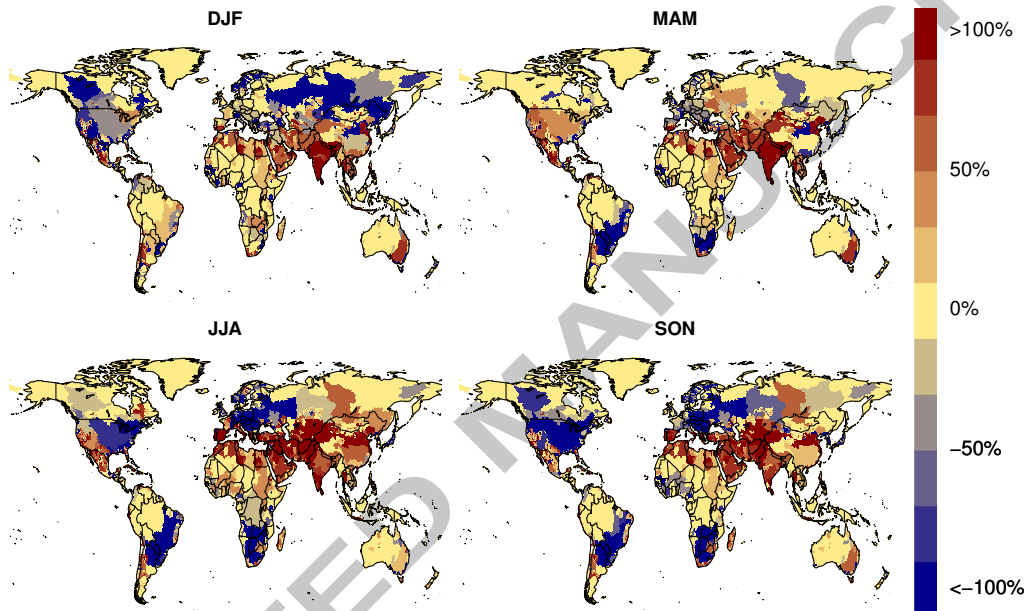


Figure 8: Impact of reservoirs and human water use on drought deficit volume compared to the pristine conditions ($dDef_{human}$) per season, over the period 2070-2099. Each plot gives the seasonal average derived from 5 GCMs and 4 RCPs. Impact is calculated as a percentage, where positive percentages indicate a increase in the drought deficit volume and negative percentages indicate an decrease in the drought deficit volume as a result of human water use and reservoirs.

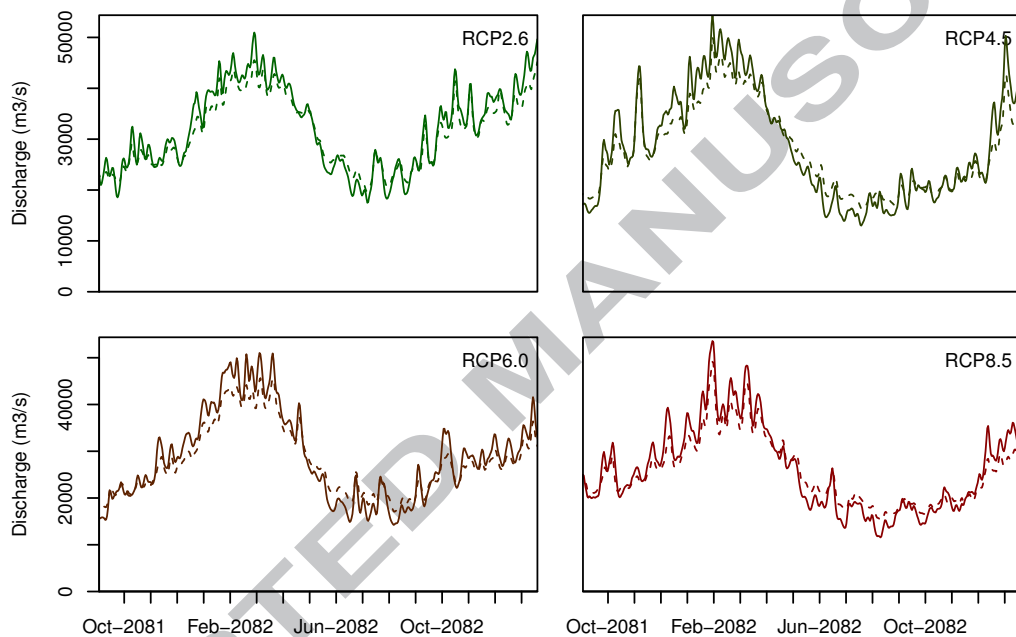


Figure 9: Average discharge over 5 GCMs per RCP for the Mississippi river. Solid line indicates the natural scenario without human water abstractions and reservoirs, and the dashed line gives the river discharge under human influences.

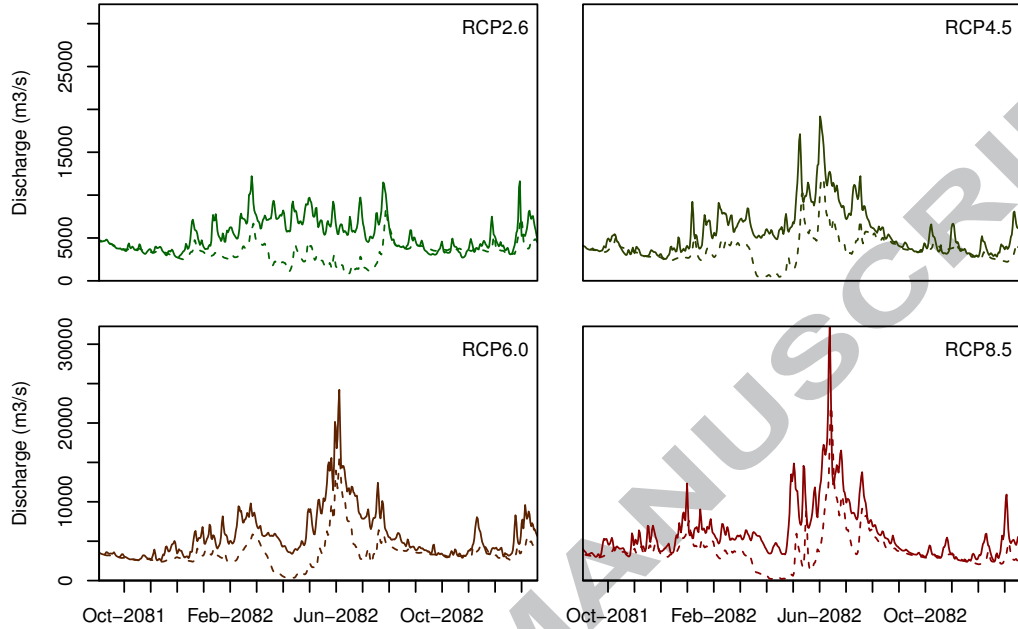


Figure 10: Average discharge over 5 GCMs per RCP for the Indus river. Solid line indicates the natural scenario without human water abstractions and reservoirs, and the dashed line gives the river discharge under human influences.

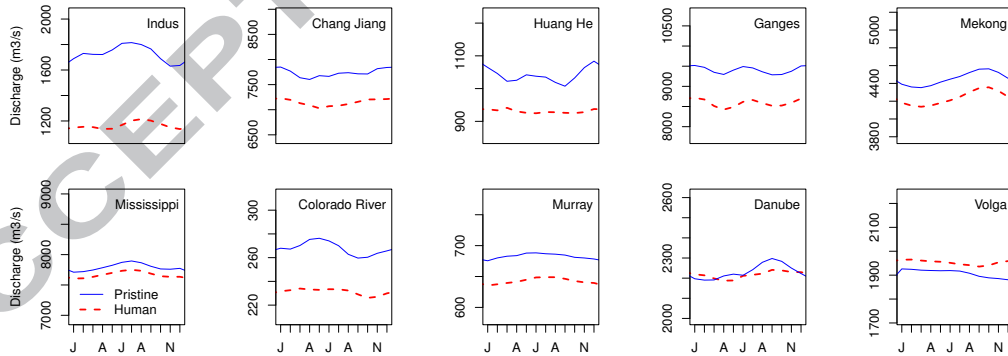


Figure 11: Average discharge climatology derived from 5 GCMs and 4 RCPs for 10 selected river basins, for the period 2070-2099. The pristine scenario (blue) and scenario with human influences (red) are given.

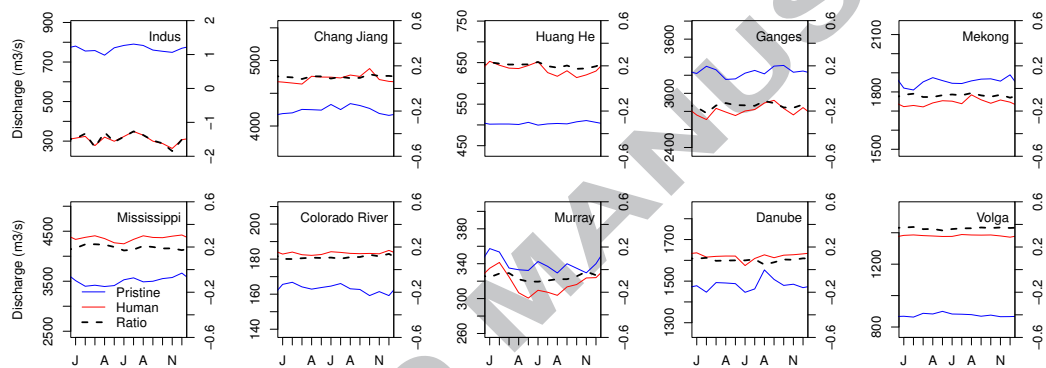


Figure 12: Average low flow regime (i.e. thresholds) derived from 5 GCMs and 4 RCPs for 10 selected river basins, for the period 2070-2099. Solid lines indicate the pristine (blue) and water demand (red) scenarios, dashed line gives the fractional difference between the two low flow climatologies (with pristine as reference). In other words the dashed lines show the fractional change in the low flow regime as a result of reservoirs and human water abstractions, where a positive ratio indicates increased the low flow regime.

Human water abstractions and irrigation impact future hydrological drought.

Projections for future hydrological drought should include human influences.

The impact of human water use on the future low-flow regime is significant.

ACCEPTED MANUSCRIPT